

# Non-autonomous perturbations for a class of quasilinear elliptic equations on $\mathbb{R}$

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Received 31 October 2007

Available online 7 March 2008

Submitted by V. Radulescu

## Abstract

This paper is concerned with the existence of two positive solutions for a class of quasilinear elliptic equations on  $\mathbb{R}$  involving the  $p$ -Laplacian, with a non-autonomous perturbation. The first solution is obtained as a local minimum in a neighborhood of 0 and the second one by a mountain-pass argument. The special features of the problem here is the “complex” structure of the linear part which, in particular, oblige to work into the space  $W^{1,p}(\mathbb{R})$ . Then one faces problems in the convergence of the sequences of derivatives  $u'_n \rightarrow u$ .

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*Keywords:* Non-autonomous perturbations; Schrödinger equation;  $p$ -Laplacian; Variational method

## 1. Introduction

We study the following class of quasilinear elliptic problems on  $\mathbb{R}$  involving the  $p$ -Laplacian:

$$\begin{cases} Lu + V(x)|u|^{p-2}u = |u|^{q-2}u + g(x) & \text{in } \mathbb{R}, \\ u \in W^{1,p}(\mathbb{R}), \quad u \geq 0 & \text{in } \mathbb{R}, \end{cases} \quad (1.1)$$

where the operator  $L$  is defined by

$$Lu \equiv -[|u'|^{p-2}u']' - K_0\{[(|u|^\beta)']^{p-2}(|u|^\beta)'\}|u|^{\beta-2}u,$$

$K_0 > 0$ ,  $\beta > 1$ ,  $p > 1$ ,  $q \geq p$  ( $q > p$ ),  $g \in L^s(\mathbb{R})$  for some  $s \geq 1$  and  $V: \mathbb{R} \rightarrow \mathbb{R}$  is a given bounded potential function verifying the basic condition

$$\text{there exists } \alpha_0 > 0 \text{ such that } \inf_{\mathbb{R}} V(x) \geq \alpha_0 > 0. \quad (V_0)$$

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<sup>1</sup> Supported in part by CNPq-Brazil and AGIMB—Millenium Institute MCT/Brazil.

The quasilinear equations of the type (1.1) have been accepted as a model for several physical phenomena. In the case  $p = \beta = 2$ , these equations are related to the existence of standing wave solutions for quasilinear Schrödinger equations of the form

$$iz_t = -\Delta z + V(x)z - h(|z|^2)z - K_0 \Delta f(|z|^2) f'(|z|^2)z \quad \text{in } \mathbb{R}^n, \tag{1.2}$$

where  $V$  is a given potential,  $K_0$  is a real constant,  $h$  and  $f$  are real functions. For instance, the case  $f(s) = s$  was used as a model for the superfluid film equations in plasma physics by Kurihura in [25]. Besides Eq. (1.2) with  $f(s) = (1 + s)^{\frac{1}{2}}$  models the self-channeling of a high-power ultra short laser in matter, see Borovskii and Galkin [13] and De Bouard, Hayashi and Saut [14]. Eq. (1.2) also appears in the plasma physics and the fluid mechanics (see [7,35]), in mechanics and in condensed matter theory (see [19,30], respectively).

The case  $K_0 = 0$ , has been intensively studied in recent years, considering various types of  $g$ , see for example [8,18,22], as well as in their references. More exactly, Rabinowitz in [36] (see also [6,16]) treated the case when  $V$  satisfies some coercivity conditions such as

$$\lim_{|x| \rightarrow \infty} V(x) = +\infty. \tag{V_1}$$

The situation in which  $V$  is bounded and satisfies the periodicity condition

$$V(x + p) = V(x), \quad x \in \mathbb{R}, \quad p \in \mathbb{Z}, \tag{V_2}$$

was studied, e.g., by Coti-Zelati and Rabinowitz [17], Kryszewski and Szulkin [24] and Montecchiari [31].

On the other hand, when  $V$  is asymptotic to a constant  $\bar{V} \equiv \sup_{x \in \mathbb{R}} V(x)$ , that is,

$$\lim_{|x| \rightarrow \infty} V(x) = \bar{V} \quad \text{and} \quad V \leq \bar{V}, \tag{V_3'}$$

where the last inequality is strict on a subset of positive measure in  $\mathbb{R}^n$ , problem (1.1) in  $\mathbb{R}^n$  with  $1 < p < n$  and  $g = 0$  was considered by Zhu and Yang [42]. Alves, Carrião and Miyagaki in [1] treated problem (1.1) when  $g = 0$  and  $V$  is asymptotic to a periodic function  $V_p$ , namely,

$$\lim_{|x| \rightarrow \infty} V(x) = V_p \quad \text{and} \quad V \leq V_p, \tag{V_3}$$

where the last inequality is strict on a subset of positive measure in  $\mathbb{R}^n$ .

Still in the case  $K_0 = 0$ , Rădulescu and Smets in [37] obtained multiplicity result for problem (1.1) when  $g \neq 0$  and  $\beta = p = 2$ .

The case in which  $K_0 \neq 0$ ,  $4 \leq q + 1 \leq \frac{4n}{n-2}$  if  $n \geq 3$ , and  $q \geq 3$  if  $n = 1, 2$ , problem (1.1) was studied in [4,27,28,34]. More especially Liu, Wang and Wang in [29] established the existence of solution both one-sign and nodal ground states of soliton type, by using the Nehari method. Poppenberg, Schmitt and Wang in [34] and Liu and Wang in [27], by using a constrained minimization argument, obtained a solution for problem (1.2) with a Lagrange multiplier  $\lambda$  associated to the non-linear term. Afterwards, Liu, Wang and Wang in [28], by using a change of variables, reduced the quasilinear problem (1.1) in  $\mathbb{R}^n$  with  $p = \beta = 2$  and  $g = 0$  to a semilinear one and their result does not involve the constant  $\lambda$  any more. This argument was also used by Colin and Jeanjean in [15] when  $p = 2$ , and Severo [38] for  $n \geq p > 1$ ,  $\beta = 2$ ,  $p \neq 2$ . These authors proved the existence of solutions by applying the classical results by Berestycki and Lions [12] when  $n = 1$  or  $n \geq 3$ , and by Berestycki, Gallouët and Kavian [9] when  $n = 2$ . For  $n = 1$ , by using a variational perturbative approach, Ambrosetti and Wang in [4] were also able to drop the above Lagrange multiplier. In [2], Alves, Carrião and Miyagaki by employing some techniques developed in [4] and by combining the concentration–compactness principle due to Lions in [26] with a minimization approach, proved the existence of non-negative solutions for problem (1.1) when  $g = 0$ . Finally, Eq. (1.2) in  $n = 2$ , involving a critical exponential non-linearities was treated, for instance, in [22,32,38].

A main difficulty in our work is because for the case  $p \neq 2$ , we need to prove the convergence of the sequence of the derivatives, that is,

$$u'_n \rightarrow u' \quad \text{a.e. in } \mathbb{R}, \quad \text{as } n \rightarrow \infty,$$

for a bounded sequence  $\{u_n\}$  in a Sobolev spaces. This convergence can be obtained adapting some arguments used by Boccardo and Murat in [10]. (See also [5], for  $p > n$ .)

Another difficulty is the case  $K_0 \neq 0$  and  $n = 1$ . In these cases the ideas of [15,28] also [38] do not in a straightforward way.

This paper was motivated by [5,21,37,40] and by applying the variational method we will prove the existence of two positive solutions for problem (1.1). Our main results are the following:

**Theorem 1.1.** *Let  $V$  be a bounded potential satisfying conditions  $(V_0)$  and either  $(V_2)$  or  $(V_3)$ . Suppose that  $K_0 = 1$ ,  $\beta > 1$ ,  $p > 1$  and  $q \geq p\beta$  ( $q > p$ ), then problem (1.1) has at least one solution if  $g \neq 0$  and  $|g|_s$  is sufficiently small. Moreover, if  $g \in C_+$ , then this solution is positive.*

**Theorem 1.2.** *Let  $V$  be a bounded potential satisfying conditions  $(V_0)$  and either  $(V_2)$  or  $(V_3)$ . Suppose that  $K_0 = 1$ ,  $\beta > 1$ ,  $p > 1$  and  $q \geq p\beta$  ( $q > p$ ), then for each  $f \in C_+$  problem (1.1) with  $g = \epsilon f$  has at least two positive solutions, for all  $\epsilon > 0$  sufficiently small.*

**Remark 1.3.** We recall that

$$|g|_p := \left[ \int_{\mathbb{R}} |g(x)|^p dx \right]^{\frac{1}{p}} \text{ denotes the usual norm in } L^p(\mathbb{R});$$

$C_+$  is the positive cone of the dual space  $(L^{s'}(\mathbb{R}))^* := L^s(\mathbb{R})$ ,  $s \geq 1$ , specifically:  $f \in C_+$  if and only if  $f \neq 0$  and  $\int_{\mathbb{R}} f(x)u(x) dx \geq 0$  for all  $u \in W^{1,p}(\mathbb{R})$  such that  $u(x) \geq 0$  a.e. on  $\mathbb{R}$ .

Our result can be proved with the assumption  $(V_1)$ , because in this case we can use compactness.

To prove Theorems 1.1 and 1.2 we will need some preliminary results that are described by several lemmata established below.

### 2. Preliminary results for first solution

First of all, define the energy functional  $I : W^{1,p}(\mathbb{R}) \rightarrow \mathbb{R}$  associated to problem (1.1) by

$$I(u) \equiv \int_{\mathbb{R}} \left\{ \frac{1}{p} [|u'|^p + V(x)|u|^p] + \frac{\beta^{p-1}}{p} K_0 |u|^{p(\beta-1)} |u'|^p - \frac{1}{q} |u|^q - gu \right\} dx \tag{2.1}$$

and its Fréchet derivative

$$\begin{aligned} I'(u) \cdot z = & \int_{\mathbb{R}} ( [|u'|^{p-2} u'] z' + V(x) [|u|^{p-2} u] z ) dx + \int_{\mathbb{R}} \beta^{p-1} (\beta - 1) [|u|^{p(\beta-1)-1} |u'|^p] z dx \\ & + \int_{\mathbb{R}} \beta^{p-1} [|u|^{p(\beta-1)} |u'|^{p-2} u'] z' dx - \int_{\mathbb{R}} [|u|^{q-2} u] z dx - \int_{\mathbb{R}} g(x) z(x) dx. \end{aligned} \tag{2.2}$$

We cannot apply directly such method because  $I$  and  $I'$  are not well defined in  $W^{1,p}(\mathbb{R})$ . Moreover, the second integral in the expression of  $I$ , as well as the second and the third integral, in the expression of  $I'$  are very hard to analyze. Mainly because the functions are living in the space  $W^{1,p}(\mathbb{R})$  and because the Brezis–Lieb identity type (see [12]) does not hold in our case, even in the case  $p = 2$ , as was mentioned in [34] and [4]. To overcome these difficulties we will use an argument developed by Liu, Wang and Wang in [28] (see also [15] for  $p = 2$  and [38] for  $p \neq 2$ ,  $\beta = 2$  and  $n \geq p > 1$ ).

We make the change of variable  $u = f(v)$  ( $v = f^{-1}(u)$ ), where  $f : \mathbb{R} \rightarrow \mathbb{R}$  is defined by

$$f(0) = 0, \quad f(-t) = -f(t) \quad \text{on } (-\infty, 0],$$

and

$$f'(t) = \frac{1}{[1 + \beta^{p-1} |f(t)|^{p(\beta-1)}]^{\frac{1}{p}}} \quad \text{on } [0, \infty).$$

In addition,  $f$  satisfies the following properties, which can be proved arguing as Colin and Jeanjean in [15, Lemma 2.1].

**Lemma 2.1.**

- (a)  $f$  is uniquely defined,  $C^2(\mathbb{R})$  and invertible.
- (b)  $|f'(t)| \leq 1$ , for all  $t \in \mathbb{R}$ .
- (c)  $|f(t)| \leq |t|$ , for all  $t \in \mathbb{R}$ .
- (d)  $\frac{f(t)}{t} \rightarrow 1$ , as  $t \rightarrow 0$ .
- (e) For  $t \geq 0$  we have  $\frac{1}{\beta} f(t) \leq t f'(t) \leq f(t)$ .
- (f)  $\frac{f(t)}{t^{1/\beta}} \rightarrow C > 0$ , as  $t \rightarrow \infty$ .

Thus, if  $u$  is a classical solution for problem (1.1), making this change of variables we obtain the following equation:

$$-\Delta_p v \equiv -(|v'|^{p-2} v')' = \frac{1}{[1 + \beta^{p-1} |f(t)|^{p(\beta-1)}]^{1/p}} H(x, v), \quad x \in \mathbb{R}, \tag{2.3}$$

where

$$H(x, v) = -V(x) |f(v)|^{p-2} f(v) + |f(v)|^{q-2} f(v) + g(x).$$

The energy functional associated to problem (1.1) is given by

$$J(v) \equiv \int_{\mathbb{R}} \left\{ \frac{1}{p} [|v'|^p + V(x) |f(v)|^p] - \frac{1}{q} |f(v)|^q - g(x) f(v) \right\} dx \tag{2.4}$$

and its Fréchet derivative is given by

$$\begin{aligned} J'(v) \cdot w = & \int_{\mathbb{R}} [|v'|^{p-2} v'] w' dx + \int_{\mathbb{R}} V(x) [|f(v)|^{p-2} f(v) f'(v)] w dx \\ & - \int_{\mathbb{R}} [|f(v)|^{q-2} f(v) f'(v)] w dx - \int_{\mathbb{R}} g(x) f'(v) w dx. \end{aligned} \tag{2.5}$$

They are well defined on the space  $W^{1,p}(\mathbb{R})$  under suitable assumptions on the potential  $V$  and the proprieties of the function  $f$ .

We use the following notation:

We say that  $(u_n)$  is a Palais–Smale sequence  $((PS)_c$  in short) for the functional  $\psi$  at the level  $c \in \mathbb{R}$ , if

$$\psi(u_n) \rightarrow c \quad \text{and} \quad \psi'(u_n) \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

**Lemma 2.2.** Let  $(u_n) \subset W^{1,p}(\mathbb{R})$  be a bounded  $(PS)_c$  sequence for the functional  $I$ . Then there exists a subsequence of  $(u_n)$  (which we also denote by  $(u_n)$ ) such that

- (a)  $u_n \rightharpoonup u$ , weakly in  $W^{1,p}(\mathbb{R})$ , as  $n \rightarrow \infty$ .
- (b)  $u_n \rightarrow u$  a.e. on  $\mathbb{R}$ , as  $n \rightarrow \infty$ .
- (c)  $u'_n \rightarrow u'$  a.e. on  $\mathbb{R}$ , as  $n \rightarrow \infty$ .

**Proof.** The proof of items (a) and (b) are immediate. The proof of the item (c) follows by adapting some arguments used in Boccardo and Murat [10] (see also [2,5,33]). For the sake of completeness, we will give the sketch of the proof. Define the family of functions

$$\tau_\eta(s) = \begin{cases} s & \text{if } |s| \leq \eta, \\ \eta \frac{s}{|s|} & \text{if } |s| > \eta. \end{cases}$$

Fixing a compact set  $K \subset \mathbb{R}$ , we take a cut-off function  $\phi_K : \mathbb{R} \rightarrow \mathbb{R}$ , satisfying

$$\phi_K \in C_0^\infty(\mathbb{R}), \quad 0 \leq \phi_K \leq 1 \text{ in } \mathbb{R} \quad \text{and} \quad \phi_K = 1 \quad \text{on } K.$$

Consider the test function  $\phi_K \tau_\eta(u_n - u) \in W^{1,p}(\mathbb{R})$ . Since  $(u_n)$  is a  $(PS)_c$  sequence for functional  $I$ , we have

$$\begin{aligned} o(1) &= I'(u_n)\phi_K \tau_\eta(u_n - u) - I'(u)\phi_K \tau_\eta(u_n - u) \\ &= \int_{\mathbb{R}} [1 + \beta^{p-1}|u_n|^{p(\beta-1)}][|u'_n|^{p-2}u'_n - |u'|^{p-2}u'](\phi_K \tau_\eta(u_n - u))' dx \\ &\quad + \int_{\mathbb{R}} V(x)[|u_n|^{p-2}u_n - |u|^{p-2}u]\phi_K \tau_\eta(u_n - u) dx \\ &\quad + \int_{\mathbb{R}} \beta^{p-1}(\beta - 1)[|u_n|^{p(\beta-1)-1}|u'_n|^p - |u|^{p(\beta-1)-1}|u'|^p]\phi_K \tau_\eta(u_n - u) dx \\ &\quad + \int_{\mathbb{R}} \beta^{p-1}[|u_n|^{p(\beta-1)} - |u|^{p(\beta-1)}]|u'|^{p-2}u'(\phi_K \tau_\eta(u_n - u))' dx \\ &\quad - \int_{\mathbb{R}} [|u_n|^{q-2}u_n - |u|^{q-2}u]\phi_K \tau_\eta(u_n - u) dx - \int_{\mathbb{R}} g(x)\phi_K \tau_\eta(u_n - u) dx. \end{aligned} \quad (2.6)$$

**Affirmation 2.3.** We claim that

- (a)  $\left| \int_K V(x)[|u_n|^{p-2}u_n - |u|^{p-2}u]\phi_K \tau_\eta(u_n - u) dx \right| = o(1).$
- (b)  $\left| \int_K [|u_n|^{p(\beta-1)-1}|u'_n|^p - |u|^{p(\beta-1)-1}|u'|^p]\phi_K \tau_\eta(u_n - u) dx \right| = o(1).$
- (c)  $\left| \int_K [|u_n|^{p(\beta-1)} - |u|^{p(\beta-1)}]|u'|^{p-2}u'(\phi_K \tau_\eta(u_n - u))' dx \right| = o(1).$
- (d)  $\left| \int_K [|u_n|^{q-2}u_n - |u|^{q-2}u]\phi_K \tau_\eta(u_n - u) dx \right| \leq C\eta.$
- (e)  $\left| \int_{\mathbb{R}} g(x)\phi_K \tau_\eta(u_n - u) dx \right| = o(1).$
- (f)  $\limsup_{n \rightarrow \infty} g_n \leq C\eta,$

where

$$g_n \equiv \left| \int_K [1 + K_0\beta^{p-1}|u_n|^{p(\beta-1)}][|u'_n|^{p-2}u'_n - |u'|^{p-2}u'](\phi_K \tau_\eta(u_n - u))' dx \right|.$$

By assuming the above affirmation, we conclude the proof of Lemma 2.2. In fact, define the functions  $e_n$  by

$$e_n(x) = [1 + K_0\beta^{p-1}|u_n|^{p(\beta-1)}][|u'_n|^{p-2}u'_n - |u'|^{p-2}u'](\tau_\eta(u_n - u))'.$$

Since  $[1 + K_0\beta^{p-1}|u_n|^{p(\beta-1)}] > 0$ , from the inequality

$$[|x|^{p-2}x - |y|^{p-2}y](x - y) \geq \begin{cases} C \frac{|x-y|^2}{(|x|+|y|)^{2-p}} & \text{if } 1 < p < 2, \\ C|x-y|^p & \text{if } p \geq 2, \forall x, y \in \mathbb{R}^N \end{cases} \quad (2.7)$$

(see [33,39]), we infer that  $e_n \geq 0$ . We also have that

$$\int_{\mathbb{R}} e_n(x) dx < \infty.$$

Fix  $\theta$  with  $0 < \theta < 1$  and split the set  $K$  into

$$S_n^\eta = \{x \in K / |u_n - u| \leq \eta\} \quad \text{and} \quad G_n^\eta = \{x \in K / |u_n - u| > \eta\}.$$

Using Hölder’s inequality we obtain

$$\int_K e_n^\theta(x) dx = \int_{S_n^\eta} e_n^\theta(x) dx + \int_{G_n^\eta} e_n^\theta(x) dx \leq \left\{ \int_{S_n^\eta} e_n(x) dx \right\}^\theta |S_n^\eta|^{1-\theta} + \left\{ \int_{G_n^\eta} e_n(x) dx \right\}^\theta |G_n^\eta|^{1-\theta}.$$

Now, fix  $\eta$ ; then  $|G_n^\eta| \rightarrow 0$ , as  $n \rightarrow \infty$ , because  $u_n \rightarrow u$  uniformly and a.e. in  $K$ , as  $n \rightarrow \infty$ . From the uniform boundedness of  $(e_n)$  in  $L^1(\mathbb{R})$  we get

$$\limsup_{n \rightarrow \infty} \int_{I_n} e_n^\theta(x) dx \leq (C\eta)^\theta |S_n^\eta|^{1-\theta}.$$

Letting  $\eta \rightarrow 0$ , we get that  $e_n^\theta \rightarrow 0$  in  $L^1(K)$ , as  $n \rightarrow \infty$ . Hence the term in the integral tends to zero a.e. in  $K$ , as  $n \rightarrow \infty$ . Since  $[1 + \beta^{p-1} K_0 |u_n|^{p(\beta-1)}] > 0$ , using a sequence of compact sets  $K$  and the inequality (2.7) we obtain

$$u'_n \rightarrow u' \quad \text{a.e. on } \mathbb{R}, \text{ as } n \rightarrow \infty. \quad \square$$

**Proof of Affirmation 2.3.** The proofs of items (a) through (d) follow as in [2, Proposition 4.2]. The item (e) is held because  $g \in L^s(\mathbb{R})$  for some  $s \geq 1$  and  $(u_n)$  is bounded in  $W^{1,p}(\mathbb{R})$ . Item (f) follows by taking lim sup in Eq. (2.6) and by the previous items.  $\square$

**Lemma 2.4.** *The sequence  $(v_n) \subset W^{1,p}(\mathbb{R})$  is a bounded  $(PS)_c$  sequence for the functional  $J$  defined in (2.4) if and only if  $u_n = f(v_n) \in W^{1,p}(\mathbb{R})$  is also a bounded  $(PS)_c$  sequence for functional  $I$  defined in (2.1). Moreover,  $v'_n \rightarrow v'$  a.e on  $\mathbb{R}$ , as  $n \rightarrow \infty$ .*

**Proof.** To conclude the equivalence that  $(v_n) \subset W^{1,p}(\mathbb{R})$  is a bounded  $(PS)_c$  sequence for the functional  $J$  defined in (2.4) if and only if  $u_n = f(v_n) \in W^{1,p}(\mathbb{R})$  is also a bounded sequence for functional  $I$ , we will prove that

- (a)  $I(u_n) = J(v_n)$ .
- (b)  $I'(u_n) \cdot z = J'(v_n) \cdot w$ , if  $z = f'(v_n) \cdot w$ .
- (c) If the sequence  $(v_n)$  is bounded in  $W^{1,p}(\mathbb{R})$ , then the sequence  $(u_n)$  is also bounded in  $W^{1,p}(\mathbb{R})$ .
- (d) Every  $(PS)_c$  sequence for the functional  $J$  is bounded in  $W^{1,p}(\mathbb{R})$ .

Using  $u'_n = f'(v_n) \cdot v'_n$ , item (a) follows because

$$|v'_n|^p = |u'_n|^p + \beta^{p-1} |u_n|^{p(\beta-1)} |u'_n|^p.$$

In fact,

$$\begin{aligned} |u'_n|^p + \beta^{p-1} |u_n|^{p(\beta-1)} |u'_n|^p &= |f'(v_n) \cdot v'_n|^p + \beta^{p-1} |f(v_n)|^{p(\beta-1)} |f'(v_n) \cdot v'_n|^p, \\ |f'(v_n) \cdot v'_n|^p [1 + \beta^{p-1} |f(v_n)|^{p(\beta-1)}] &= |f'(v_n) \cdot v'_n|^p |f'(v_n)|^{-p} = |v'_n|^p. \end{aligned}$$

To prove item (b) we use again  $u'_n = f'(v_n) \cdot v'_n$  and  $z' = [f'(v_n)]' \cdot w + f'(v_n) \cdot w'$ , where

$$f''(v_n) = -\beta^{p-1} (\beta - 1) |f'(v_n)|^{p+2} |f(v_n)|^{p(\beta-1)-2} f(v_n) v'_n.$$

We infer that

$$\begin{aligned}
 & |u'_n|^{p-2} u'_n z' + \beta^{p-1} |u_n|^{p(\beta-1)} |u'_n|^{p-2} u'_n z' + \beta^{p-1} (\beta - 1) |u_n|^{p(\beta-1)-1} |u'_n|^p z \\
 &= |f'(v_n) v'_n|^{p-2} f'(v_n) v'_n z' |f'(v_n)|^{-p} + \beta^{p-1} (\beta - 1) |f(v_n)|^{p(\beta-1)-1} |f'(v_n) v'_n|^p z \\
 &= |v'_n|^{p-2} v'_n |f'(v_n)|^{-1} [-\beta^{p-1} (\beta - 1) |f'(v_n)|^{p+2} |f(v_n)|^{p(\beta-1)-2} f(v_n) v'_n w + f'(v_n) w'] \\
 &\quad + \beta^{p-1} (\beta - 1) |f(v_n)|^{p(\beta-1)-1} |f'(v_n) v'_n|^p f'(v_n) w \\
 &= |v'_n|^{p-2} v'_n w'.
 \end{aligned}$$

Hence the item (b) follows.

Item (c) follows from Lemma 2.1(c). In fact,

$$\|u_n\| = \|f(v_n)\| \leq \|v_n\| \leq M.$$

**Remark 2.5.** We recall that the embedding  $W^{1,p}(\mathbb{R})$  into  $L^s(\mathbb{R})$  for  $s = \infty$  or for  $s \geq p$  is continuous.

Now we prove the item (d). Using Lemma 2.1(e), the Hölder’s inequality, (V<sub>0</sub>) and Remark 2.5 we obtain that

$$\begin{aligned}
 \frac{1}{\beta} c_1 + \frac{1}{q} |J'(v_n)|_{(W^{1,p}(\mathbb{R}))^*} \cdot \|v_n\| + 1 &\geq \frac{1}{\beta} J(v_n) - \frac{1}{q} J'(v_n) \cdot v_n \\
 &\geq C_1 \left( \frac{1}{p\beta} - \frac{1}{q} \right) \|v_n\|^p - C \left( \frac{1}{\beta} - \frac{1}{q\beta} \right) |g|_s \|v_n\|.
 \end{aligned}$$

Hence  $v_n$  is bounded in  $W^{1,p}(\mathbb{R})$ .

Now, we will prove that  $v'_n \rightarrow v'$  a.e. on  $\mathbb{R}$ , as  $n \rightarrow \infty$ . Recall that  $u'_n \rightarrow u'$ ,  $u_n \rightarrow u$  a.e. on  $\mathbb{R}$ , as  $n \rightarrow \infty$  (by Lemma 2.2) and  $[f^{-1}]'(u_n)$  is continuous, because

$$[f^{-1}]'(t) = \frac{1}{f'(f(-t))} = [1 + \beta^{p-1} t^{p(\beta-1)}]^{-\frac{1}{p}}, \quad \text{as } t \geq 0.$$

By using these facts we obtain

$$v'_n = [f^{-1}]'(u_n) u'_n \rightarrow [f^{-1}]'(u) u' = v' \quad \text{a.e. on } \mathbb{R}, \text{ as } n \rightarrow \infty. \quad \square$$

The next proposition says that every weak solution of Eq. (2.3) is a weak solution of problem (1.1).

**Proposition 2.6.** *Let  $u = f(v)$  ( $v = f^{-1}(u)$ ). Then  $v$  is a critical point of the functional  $J$  if and only if  $u$  is a critical point of the functional  $I$ . In addition, if  $v \in W^{1,p}(\mathbb{R})$ , then  $u \in W^{1,p}(\mathbb{R}) \cap C^{1,\alpha}(\mathbb{R})$ , for some  $\alpha \in (0, 1)$ .*

**Proof.** We have by Lemma 2.1(b), (c) that  $|u|^p \leq |f(v)|^p \leq |v|^p$  and  $|u'|^p \leq |f'(v)v'|^p \leq |v'|^p$ . Hence  $\|u\| = [\int_{\mathbb{R}} (|u|^p + |u'|^p) dx]^{-\frac{1}{p}} \leq \|v\| \leq \infty$ . Since  $v$  is a critical point of the functional  $J$ , then  $v$  is a weak solution for problem (2.3). By regularity theory (see [39]), one has  $v \in C^{1,\alpha}(\mathbb{R})$ ,  $\alpha \in (0, 1)$ , and using the fact that  $f \in C^2(\mathbb{R})$ , it follows that  $u = f(v) \in C^{1,\alpha}(\mathbb{R})$ . Thus  $u \in W^{1,p}(\mathbb{R}) \cap C^{1,\alpha}(\mathbb{R})$ .

The proof of the equivalence, that is,  $v$  is a critical point of functional  $J$  if and only if  $u$  is a critical point of functional  $I$ , follows as in the proof of Lemma 2.4(b) considering  $v = v_n$  and  $u = u_n$ .  $\square$

Now, we will prove that the weak limit of a Palais–Smale sequence for functional  $J$ , at the level  $c \in \mathbb{R}$ , is a weak solution of problem (2.3).

**Lemma 2.7.** *Let  $(v_n) \subset W^{1,p}(\mathbb{R})$  be a Palais–Smale sequence for functional  $J$  in the level  $c \in \mathbb{R}$ . If the sequence  $(v_n)$  converges weakly to some  $v_0 \in W^{1,p}(\mathbb{R})$ , as  $n \rightarrow \infty$ , then  $v_0$  is a weak solution for problem (2.3).*

**Proof.** Consider an arbitrary function  $\phi : \mathbb{R} \rightarrow \mathbb{R}$ ,  $\phi \in C_0^\infty(\mathbb{R})$ . Since  $J'(v_n) \cdot \phi \rightarrow 0$ , as  $n \rightarrow \infty$ , we will prove that  $J'(v_0) \cdot \phi = 0$  and by the density argument, we will conclude that  $J'(v_0) \cdot w = 0$ , for all  $w \in W^{1,p}(\mathbb{R})$ . Hence  $J'(u_0) = 0$  and  $u_0$  is a weak solution of problem (1.1). In fact,

$$\begin{aligned} 0 &= \lim_{n \rightarrow \infty} J'(v_n) \cdot \phi \\ &= \lim_{n \rightarrow \infty} \left[ \int_{\mathbb{R}} [|v'_n|^{p-2} v'_n] \phi' dx - \int_{\mathbb{R}} [|f(v_n)|^{q-2} f(v_n) f'(v_n)] \phi dx \right. \\ &\quad \left. + \int_{\mathbb{R}} V(x) [|f(v_n)|^{p-2} f(v_n) f'(v_n)] \phi dx - \int_{\mathbb{R}} g(x) f'(v_n) \phi dx \right]. \end{aligned}$$

We denote the above integrals by  $J_1(v_n) \cdot \phi$ ,  $J_2(v_n) \cdot \phi$ ,  $J_3(v_n) \cdot \phi$  and  $J_4(v_n) \cdot \phi$ , respectively. We will prove that  $J_i(v_n) \cdot \phi \rightarrow J_i(v_0) \cdot \phi$ , as  $n \rightarrow \infty$ , for all  $i = 1, 2, 3, 4$ .

We remark that  $|v'_n|^{p-2} v'_n$  is bounded in  $L^{p'}(\mathbb{R})$  where  $p' = \frac{p}{p-1}$ . By using this fact, together with Lemma 2.4, we infer that  $|v'_n|^{p-2} v'_n \rightharpoonup |v'_0|^{p-2} v'_0$ , as  $n \rightarrow \infty$ . Since  $\phi'$  is bounded in  $L^p(\mathbb{R})$ , by [20, Theorem 13.44] we conclude that  $J_1(v_n) \cdot \phi \rightarrow J_1(v_0) \cdot \phi$ , as  $n \rightarrow \infty$ .

Notice that  $(v_n)$  converges weakly to some  $v_0 \in W^{1,p}(\mathbb{R})$ , as  $n \rightarrow \infty$ ; then  $v_n \rightarrow v_0$  a.e. on  $\mathbb{R}$ , as  $n \rightarrow \infty$ . It follows that  $f(v_n) \rightarrow f(v_0)$  and  $f'(v_n) \rightarrow f'(v_0)$  a.e. on  $\mathbb{R}$ , as  $n \rightarrow \infty$  (because  $f \in C^2(\mathbb{R})$ ). As before, since  $V(x)$  is bounded it is sufficient to prove that  $|f(v_n)|^{p-2} f(v_n) f'(v_n)$  is bounded in  $L^{p'}(\mathbb{R})$  to conclude that  $J_3(v_n) \cdot \phi \rightarrow J_3(v_0) \cdot \phi$ , as  $n \rightarrow \infty$ . In fact, by Lemma 2.1 we obtain

$$\left| \int_{\mathbb{R}} |V(x)| |f(v_n)|^{p-2} f(v_n) f'(v_n) \right|^{\frac{p}{p-1}} dx \leq M \int_{\mathbb{R}} |v_n|^p dx \leq M \|v_n\|^p < \infty,$$

where  $M$  is a constant.

Arguing as in the case  $J_3$  and using Remark 2.5 we prove that  $|f(v_n)|^{q-2} f(v_n) f'(v_n)$  is bounded in  $L^{\frac{q}{q-1}}(\mathbb{R})$ . Thus  $J_2(v_n) \cdot \phi \rightarrow J_2(v_0) \cdot \phi$ , as  $n \rightarrow \infty$ .

The convergence of the integral  $J_4$  follows directly by using the Dominate Convergent Theorem, because  $g \in L^s(\mathbb{R})$  for some  $s > 1$ ,  $f'$  is continuous and satisfies Lemma 2.1(b).  $\square$

### 3. Proof of Theorem 1.1

We will prove that there exist real numbers  $c < 0$  and  $R > 0$  such that the functional  $J$  has a bounded  $(PS)_c$  sequence  $(v_n)$ , at the level  $c$ , that is

$$\|v_n\| \leq R, \quad J(v_n) \rightarrow c \quad \text{and} \quad J'(v_n) \rightarrow 0, \quad \text{as } n \rightarrow \infty,$$

where

$$\begin{aligned} c &= \inf \{ J(v) / v \in W^{1,p}(\mathbb{R}) \text{ and } v \in \bar{B}_R \}, \\ \bar{B}_R &= \{ v \in W^{1,p}(\mathbb{R}) / \|v\| \leq R \}. \end{aligned}$$

We will show that  $c$  is achieved by some  $v \in W^{1,p}(\mathbb{R})$  and  $J'(v) = 0$ .

Notice that by definition of  $c$  and using that  $g \neq 0$ , it follows that  $c < J(0) = 0$ .

Using Ekeland's variational principle we get the following result:

**Affirmation 3.1.** *There exists a sequence  $(v_n) \in W^{1,p}(\mathbb{R}) \cap \bar{B}_R$  such that*

$$J(v_n) \rightarrow c, \quad J'(v_n) \rightarrow 0 \quad \text{in } (W^{1,p}(\mathbb{R}))^*, \text{ as } n \rightarrow \infty,$$

By assuming this affirmation, we have that the sequence  $(v_n)$  is bounded in  $W^{1,p}(\mathbb{R}) \cap \bar{B}_R$  and hence  $v_n \rightharpoonup v$  converges weakly and  $v_n \rightarrow v$  a.e. on  $\mathbb{R}$ , as  $n \rightarrow \infty$ . By Lemma 2.7 we obtain that  $v$  is a solution of problem (2.3) and  $J'(v) = 0$ .

Now we will verify that  $J(v) = c$  and  $v \geq 0$ .

We have that  $(v_n)$  satisfies

$$c + o(1) = J(v_n) = \frac{1}{p} \int_{\mathbb{R}} [|v'_n|^p + V(x) |f(v_n)|^p] dx - \frac{1}{q} \int_{\mathbb{R}} |f(v_n)|^q dx - \int_{\mathbb{R}} g(x) f(v_n) dx,$$

and for all  $w \in W^{1,p}(\mathbb{R})$ ,

$$\begin{aligned} 0 &= J'(v_n) \cdot w \\ &= \int_{\mathbb{R}} [|v'_n|^{p-2} v'_n] w' dx + \int_{\mathbb{R}} V(x) [|f(v_n)|^{p-2} f(v_n) f'(v_n)] w dx \\ &\quad - \int_{\mathbb{R}} [|f(v_n)|^{q-2} f(v_n) f'(v_n)] w dx - \int_{\mathbb{R}} g(x) f'(v_n) w dx. \end{aligned}$$

By choosing  $w = w_n = \frac{f(v_n)}{f'(v_n)}$  we obtain

$$w'_n = v'_n \left[ 1 + \frac{\beta^{p-1}(\beta - 1) |f(v_n)|^{p(\beta-1)}}{1 + \beta^{p-1} |f(v_n)|^{p(\beta-1)}} \right].$$

We note that  $w_n$  is bounded (by Lemma 2.1(e)) and hence

$$\begin{aligned} 0 &= J'(v_n) \cdot w_n \\ &= \int_{\mathbb{R}} |v'_n|^p \left[ 1 + \frac{\beta^{p-1}(\beta - 1) |f(v_n)|^{p(\beta-1)}}{1 + \beta^{p-1} |f(v_n)|^{p(\beta-1)}} \right] dx + \int_{\mathbb{R}} V(x) |f(v_n)|^p dx - \int_{\mathbb{R}} |f(v_n)|^q dx - \int_{\mathbb{R}} g(x) f(v_n) dx. \end{aligned}$$

We conclude that

$$\begin{aligned} c + o(1) &= J(v_n) \\ &= \int_{\mathbb{R}} \left( \frac{1}{p} - \frac{1}{q} \left[ 1 + \frac{\beta^{p-1}(\beta - 1) |f(v_n)|^{p(\beta-1)}}{1 + \beta^{p-1} |f(v_n)|^{p(\beta-1)}} \right] \right) |v'_n|^p dx \\ &\quad + \left( \frac{1}{p} - \frac{1}{q} \right) \int_{\mathbb{R}} V(x) |f(v_n)|^p dx + \left( \frac{1}{q} - 1 \right) \int_{\mathbb{R}} g(x) f(v_n) dx. \end{aligned} \tag{3.1}$$

Now we will prove that  $c \geq J(v)$ . By using this fact, the definition of  $c$  and the fact that  $v \in \bar{B}_R$ , we conclude that  $J(v) = c$ .

We denote the above integrals by  $J_1(v_n)$ ,  $J_2(v_n)$  and  $J_3(v_n)$ . To prove the inequality  $c \geq J(v)$  we will use Fatou’s Lemma in the integrals  $J_1(v_n)$  and  $J_2(v_n)$ . In fact, define

$$h_n^1(x) = \left( \frac{1}{p} - \frac{1}{q} \left[ 1 + \frac{\beta^{p-1}(\beta - 1) |f(v_n)|^{p(\beta-1)}}{1 + \beta^{p-1} |f(v_n)|^{p(\beta-1)}} \right] \right) |v'_n|^p.$$

Since  $v_n \rightarrow v$  a.e. on  $\mathbb{R}$ ,  $v'_n \rightarrow v'$  a.e. on  $\mathbb{R}$  and  $f$  is continuous we have that

$$h_n^1(x) \rightarrow \left( \frac{1}{p} - \frac{1}{q} \left[ 1 + \frac{\beta^{p-1}(\beta - 1) |f(v)|^{p(\beta-1)}}{1 + \beta^{p-1} |f(v)|^{p(\beta-1)}} \right] \right) |v'|^p \quad \text{a.e. on } \mathbb{R}.$$

Using Remark 2.5 and Lemma 2.1(c) we get

$$\int_{\mathbb{R}} h_n^1(x) dx \leq \int_{\mathbb{R}} \left( \frac{1}{p} + \frac{1}{q} \left[ 1 + \beta^{p-1}(\beta - 1) \|v_n\|^{p(\beta-1)} \right] \right) |v'_n|^p dx \leq C \|v_n\|^p < \infty$$

and thus  $h_n^1 \in L^1(\mathbb{R})$ . Setting  $a \equiv \beta^{p-1} |f(v)|^{p(\beta-1)}$  we infer that  $1 + \frac{(\beta-1)a}{1+a} < 1 + \beta - 1 = \beta$ , and hence

$$h_n^1(x) \geq \left( \frac{1}{p} - \frac{1}{q} \beta \right) |v'_n|^p = \frac{q - p\beta}{pq} |v'_n|^p \geq 0.$$

By Fatou’s Lemma we obtain that

$$\liminf_{n \rightarrow \infty} J_1(v_n) \geq \int_{\mathbb{R}} \left( \frac{1}{p} - \frac{1}{q} \left[ 1 + \frac{\beta^{p-1}(\beta - 1) |f(v)|^{p(\beta-1)}}{1 + \beta^{p-1} |f(v)|^{p(\beta-1)}} \right] \right) |v'|^p dx. \tag{3.2}$$

Now we define

$$h_n^2(x) = \left(\frac{1}{p} - \frac{1}{q}\right) V(x) |f(v_n)|^p$$

and remark that

$$h_n^2(x) \rightarrow \left(\frac{1}{p} - \frac{1}{q}\right) V(x) |f(v)|^p \quad \text{a.e. on } \mathbb{R},$$

because  $v_n \rightarrow v$  a.e. on  $\mathbb{R}$  and  $f$  is continuous. Since

$$h_n^2(x) \geq 0 \quad \text{and} \quad h_n^2 \in L^1(\mathbb{R})$$

by Fatou’s Lemma we obtain that

$$\liminf_{n \rightarrow \infty} J_2(v_n) \geq \left(\frac{1}{p} - \frac{1}{q}\right) \int_{\mathbb{R}} V(x) |f(v)|^p dx. \tag{3.3}$$

Note that the proof of the convergence of the integral

$$J_3(v_n) \rightarrow J_3(v) \tag{3.4}$$

is direct by applying the Lebesgue Convergence Theorem, because  $g \in L^s(\mathbb{R})$  for some  $s \geq 1$  and  $f$  is continuous. Taking the lower limit in the equality (3.1) and using (3.4), (3.3) and (3.2), we conclude that  $c \geq J(v)$ .

Finally, since  $g \in C_+$  and  $J$  is an even function in  $v$ , we can replace  $v$  by  $|v|$ . Then we obtain a non-negative solution for problem (1.1).

**Proof of Affirmation 3.1.** By Lemma 2.1(d), there exist constants  $R_1 > 0$  and  $C_1 = C_1(R_1) > 0$  such that

$$|f(v)| \geq C_1|v| \quad \text{if } |v| \leq R_1. \tag{3.5}$$

Using the Hölder’s inequality together with (3.5), Lemma 2.1(c), the condition  $(V_0)$  and Remark 2.5 we obtain

$$J(v) \geq \int_{\mathbb{R}} \frac{1}{p} [ |v|^p + V_0 C_1 |v|^p ] - \frac{C_2}{q} \|v\|^q - C_3 |g|_s \|v\| dx.$$

Let  $C = C(R_1) \equiv \min\{1, V_0 C_1\}$ . By the Young’s inequality, we conclude that

$$J(v) \geq \frac{1}{p} (C - \epsilon^p) \|v\|^p - \frac{C_2}{q} \|v\|^q - C_\epsilon |g|_s^{p'}.$$

Fixing  $\epsilon \in (0, 1)$  we take real numbers  $R < R_1$ ,  $\delta_1 > 0$ ,  $\rho_1 > 0$  such that  $J(v) \geq \rho_1$  if  $\|v\| = R$  and  $|g|_s < \delta_1$ . Hence  $J$  is bounded from below on  $\bar{B}_R$ . We will prove that  $J$  is lower semi-continuous in  $\bar{B}_R$ .

Note that

$$\liminf_{n \rightarrow \infty} J(z_n) = \liminf_{n \rightarrow \infty} \left\{ \frac{1}{p} \int_{\mathbb{R}} |z'_n|^p dx + \frac{1}{p} \int_{\mathbb{R}} V(x) |f(z_n)|^p dx - \frac{1}{q} \int_{\mathbb{R}} |f(z_n)|^q dx - \int_{\mathbb{R}} g(x) f(z_n) dx \right\}. \tag{3.6}$$

We denote the above integrals by  $J_1(z_n)$ ,  $J_2(z_n)$ ,  $J_3(z_n)$  and  $J_4(z_n)$ , respectively. Since  $|\cdot|_p$  is lower semi-continuous, then

$$\liminf_{n \rightarrow \infty} \int_{\mathbb{R}} |z'_n|^p dx \geq \int_{\mathbb{R}} |z'|^p dx. \tag{3.7}$$

Using Lemma 2.1(b), the Mean Value Theorem and Remark 2.5 we get

$$\left| \int_{\mathbb{R}} |f(z_n) - f(z)|^p dx \right| \leq M \int_{\mathbb{R}} |z_n - z|^p \leq C \|z_n - z\|^p \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

Therefore  $f(z_n) \rightarrow f(z)$  in  $L^p(\mathbb{R})$ , as  $n \rightarrow \infty$ . Thus, since  $V$  is bounded, by combining [11, Theorem IV.9] with the Lebesgue Convergence Theorem, we infer that

$$\int_{\mathbb{R}} V(x)|f(z_n)|^p dx \rightarrow \int_{\mathbb{R}} V(x)|f(z)|^p dx, \quad \text{as } n \rightarrow \infty. \tag{3.8}$$

Similarly, we prove that

$$\int_{\mathbb{R}} |f(z_n)|^q dx \rightarrow \int_{\mathbb{R}} |f(z)|^q dx, \quad \text{as } n \rightarrow \infty, \tag{3.9}$$

and since  $g \in L^s(\mathbb{R})$ , for some  $s \geq 1$ , we obtain that

$$J_4(z_n) \rightarrow \int_{\mathbb{R}} g(x)f(z) dx, \quad \text{as } n \rightarrow \infty. \tag{3.10}$$

Thus using (3.7)–(3.10) in the equality (3.6) we conclude that

$$\begin{aligned} \liminf_{n \rightarrow \infty} J(z_n) &= \liminf_{n \rightarrow \infty} \left\{ \frac{1}{p} \int_{\mathbb{R}} |z'_n|^p dx + \frac{1}{p} \int_{\mathbb{R}} V(x)|f(z_n)|^p dx - \frac{1}{q} \int_{\mathbb{R}} |f(z_n)|^q dx - \int_{\mathbb{R}} g(x)f(z_n) dx \right\} \\ &= \liminf_{n \rightarrow \infty} \left\{ \frac{1}{p} \int_{\mathbb{R}} |z'_n|^p dx + \frac{1}{p} \int_{\mathbb{R}} V(x)|f(z)|^p dx - \frac{1}{q} \int_{\mathbb{R}} |f(z)|^q dx - \int_{\mathbb{R}} g(x)f(z) dx \right\} \\ &\geq \frac{1}{p} \int_{\mathbb{R}} |z'|^p dx + \frac{1}{p} \int_{\mathbb{R}} V(x)|f(z)|^p dx - \frac{1}{q} \int_{\mathbb{R}} |f(z)|^q dx - \int_{\mathbb{R}} g(x)f(z) dx = J(z). \end{aligned}$$

Hence  $J$  is lower semi-continuous in  $\overline{B}_R$ .

Since  $J$  is lower semi-continuous on  $\overline{B}_R$ , by Ekeland’s principle (see Kesavan [23, Lemma 5.3.1]), we obtain that for any positive integer  $n$  there exists a sequence  $(v_n)$  such that

$$c \leq J(v_n) \leq c + \frac{1}{n} \tag{3.11}$$

and

$$J(w) \geq J(v_n) - \frac{1}{n} \|v_n - w\|, \quad \text{for all } w \in \overline{B}_R. \tag{3.12}$$

We recall that  $\|v_n\| < R$ , for all  $n$  large enough.

Next we will prove that  $\|J'(v_n)\|_{(W^{1,p}(\mathbb{R}))^*} \rightarrow 0$ , as  $n \rightarrow \infty$ . In fact, for any  $v \in W^{1,p}(\mathbb{R})$  such that  $\|v\| = 1$ , we define  $w_n = v_n - tv$ . For a fixed  $n$  we have that  $\|w_n\| = \|v_n\| - t < R$  if  $t$  is small enough. Using the inequality (3.12) we get

$$J(w_n) = J(v_n + tv) \geq J(v_n) - \frac{|t|}{n} \|v\|.$$

Therefore

$$\frac{J(v_n + tv) - J(v_n)}{|t|} \geq -\frac{1}{n} \|v\| = -\frac{1}{n}.$$

Letting  $t \rightarrow 0^+$  we conclude that

$$J'(v_n) \cdot v \geq -\frac{1}{n}.$$

Using a similar argument for  $t \rightarrow 0^-$ , we obtain that  $J'(v_n) \cdot v \leq \frac{1}{n}$  for all  $v \in W^{1,p}(\mathbb{R})$  with  $\|v\| = 1$ . Hence

$$J'(v_n) \rightarrow 0, \quad \text{as } n \rightarrow \infty,$$

and  $(v_n)$  is a  $(PS)_c$  sequence.  $\square$

#### 4. Preliminary results for second solution

We define the energy functional  $I_0 : X \rightarrow \mathbb{R}$  by equality (2.1), with  $g \equiv 0$ , which corresponds to the problem (1.1) without the perturbation  $g$ . We know by a result in [2] that this problem has a positive solution  $\bar{u} > 0$ , such that  $I_0(\bar{u}) = m$  where

$$m = \inf_{u \in N} I_0(u)$$

and  $N$  is the Nehari’s manifold given by

$$N \equiv \{u \in X \setminus \{0\}: I'_0(u) \cdot u = 0\}.$$

Now we prove that  $I$  possesses the Mountain Pass Geometry.

**Lemma 4.1** (Mountain Pass Geometry). *The functional  $I$  verifies*

- (a)  $I(0) = 0$ .
- (b) *There exist positive constants  $\rho$  and  $R$  such that  $I(u) \geq \rho$  if  $\|u\| = R$ .*
- (c) *There exists  $z \in W^{1,p}(\mathbb{R})$  such that  $I(z) < 0 = I(0)$  if  $\|z\| > R$ .*

**Proof.** The item (a) is immediate. Using the Hölder’s inequality, the Young’s inequality, the condition  $(V_0)$  and Remark 2.5 we obtain that

$$I(u) \geq \frac{C_1}{p} \|u\|^p - \frac{C_2}{q} \|u\|^q - C_3 |g|_s \|u\| \geq \left( \frac{C_1}{p} - \frac{\epsilon^p}{p} \right) \|u\|^p - \frac{C_2}{q} \|u\|^q - C_\epsilon [|g|_s]^{p'}.$$

Fixing  $\epsilon \in (0, 1)$  we can find  $R > 0$ ,  $\delta_1 > 0$  sufficiently small and  $\rho > 0$  such that  $I(u) \geq \rho$  if  $\|u\| = R$  and  $|g|_s < \delta_1$ . Hence the item (b) is proved.

To prove item (c), is sufficient to observe that  $I_0(t\bar{u}) \rightarrow -\infty$ , as  $t \rightarrow \infty$ , because  $q > p$ . Then there exists  $t_0 > 0$  such that  $I_0(t\bar{u}) < 0$  if  $t \geq t_0$ . From this fact, together with  $g \in C_+$ , it follows that

$$I(t\bar{u}) = I_0(t\bar{u}) - t \int_{\mathbb{R}} g(x)u(x) dx < 0 \quad \text{if } t \geq t_0.$$

Define  $z \equiv t\bar{u} \in W^{1,p}(\mathbb{R})$ ; it follows that for all  $t \geq t_0$ ,  $\|z\| > R$  and  $I(z) < 0$ .  $\square$

**Remark 4.2.** It follows from Lemma 4.1, by applying the Mountain Pass Theorem due to Ambrosetti and Rabinowitz [3], that there exists a  $(PS)_{c_1}$  sequence  $(z_n) \subset W^{1,p}(\mathbb{R})$  such that

$$I(z_n) \rightarrow c_1, \quad I'(z_n) \rightarrow 0 \quad \text{in } (W^{1,p}(\mathbb{R}))^*, \text{ as } n \rightarrow \infty,$$

where

$$c_1 = \inf_{h \in \Gamma} \sup_{u \in h} I(u) > 0,$$

with

$$\Gamma = \{h \in C([0, 1], W^{1,p}(\mathbb{R})): h(0) = 0 \text{ and } h(1) = t_0\bar{u}\}.$$

#### 5. Proof of Theorem 1.2

Recall that  $v_0$  is a weak solution for problem (2.3) given by Lemma 2.7 such that  $J(v_0) = c < 0$ . Then it follows from the proof of Lemma 2.4(a) that  $I(u_0) = J(v_0) = c < 0$ , where  $u_0 = f(v_0)$  is a weak solution of problem (1.1) given by Proposition 2.6.

By Lemma 4.1 and Remark 4.2 there exists a non-negative  $(PS)_{c_1}$  sequence  $(u_n) \subset W^{1,p}(\mathbb{R})$  (because  $g \in C_+$  and  $I$  is an even function, then we can replace  $u_n$  by  $|u_n|$ ) such that

$$I(u_n) \rightarrow c_1, \quad I'(u_n) \rightarrow 0 \quad \text{in } (W^{1,p}(\mathbb{R}))^*, \text{ as } n \rightarrow \infty.$$

We claim that  $u_n$  is bounded. In fact, using the Hölder’s inequality, the condition  $(V_0)$  and Remark 2.5 we obtain that

$$c_1 + \frac{1}{q} |I'(u_n)|_{(W^{1,p}(\mathbb{R}))^*} \cdot \|u_n\| + 1 \geq I(u_n) - \frac{1}{q} I'(u_n) \cdot u_n \geq C_1 \left( \frac{1}{p} - \frac{1}{q} \right) \|u_n\|^p - C \left( 1 - \frac{1}{q} \right) \|g\|_s \|u_n\|.$$

Hence  $u_n$  is bounded in  $W^{1,p}(\mathbb{R})$ . By Lemma 2.4,  $v_n$  is also a bounded  $(PS)_{c_1}$  sequence for the functional  $J$ . Hence  $v_n \rightharpoonup v_1$  weakly in  $W^{1,p}(\mathbb{R})$ , as  $n \rightarrow \infty$  and using Lemma 2.7,  $v_1$  is a weak solution of problem (2.3). By Proposition 2.6,  $u_1 \equiv f(v_1)$  is a weak solution of problem (1.1). We will prove that  $u_0 \neq u_1$  by proving that  $I(u_0) \neq I(u_1)$ . We do this by arguing as in Rădulescu and Smets [37].

**Lemma 5.1.** *Let  $(u_n) \subset W^{1,p}(\mathbb{R})$  be a Palais–Smale sequence for the functional  $I$  in the level  $c_1 \in \mathbb{R}$ , given above, such that  $u_n \rightharpoonup u_1$  weakly in  $W^{1,p}(\mathbb{R})$ , as  $n \rightarrow \infty$ . Then either  $u_n \rightarrow u_1$  strongly in  $W^{1,p}(\mathbb{R})$  or  $c_1 \geq m + I(u_1)$ .*

Assuming this lemma for while, it follows that either the sequence  $(u_n)$  converges strongly in  $W^{1,p}(\mathbb{R})$ , as  $n \rightarrow \infty$  and in this case

$$I(u_1) = \lim_{n \rightarrow \infty} I(u_n) = c_1 > 0 > c = I(u);$$

or

$$c_1 = \lim_{n \rightarrow \infty} I(u_n) \geq I(u_1) + m.$$

If we suppose that  $I(u_1) = I(u_0) = c$  we obtain that  $c_1 \geq c + m$ , which contradicts the lemma below and Theorem 1.2 is proved by choosing  $0 < \epsilon < \delta$  where  $\delta = \min\{\delta_1, \delta_2\}$  and  $\delta_2$  is also defined in the following lemma.

**Lemma 5.2.** *Let  $c, c_1$  and  $m$  defined previously and a function  $f \in C_+$  satisfying  $|f|_{L^s(\mathbb{R})} = 1$ . Then there exist real numbers  $R > 0$  and  $\delta_2 = \delta_2(R)$  such that  $c_1 < c + m$  for all functions  $g = \epsilon f$  whenever  $\epsilon < \delta_2$ . (In fact,  $R$  is given in the proof of Affirmation 3.1.)*

**Proof of Lemma 5.1.** By Lemma 2.7 and Proposition 2.6, if  $u_n \rightarrow u_1$ , as  $n \rightarrow \infty$ , by the continuity of the functional  $I$  we obtain that  $u_1$  is a critical point of  $I$  and  $I(u_1) = c_1$ .

On the other hand, if the sequence  $(u_n)$  does not converge strongly to  $u_1$  in  $W^{1,p}(\mathbb{R})$ , we define  $z_n = u_n - u_1$  and we obtain that  $z_n \rightharpoonup 0$  weakly in  $W^{1,p}(\mathbb{R})$ , as  $n \rightarrow \infty$ . Thus we can assume that

$$\|z_n\| \rightarrow \gamma > 0, \quad \text{as } n \rightarrow \infty. \tag{5.1}$$

Using that  $u_n \rightharpoonup u_1$  weakly in  $W^{1,p}(\mathbb{R})$ , as  $n \rightarrow \infty$ , Remark 2.5 and by [20, Theorem 13.44] we conclude that

$$\int_{\mathbb{R}} g(x)z_n(x) dx \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

Thus

$$I(z_n) = I_0(z_n) + o(1).$$

We recall Proposition 4.1 in [2] (for  $p = 2$  see [34]), that is,

$$\liminf_{n \rightarrow \infty} |u'_n u_n^{\beta-1}|_p^p \geq \liminf_{n \rightarrow \infty} |z'_n z_n^{\beta-1}|_p^p + |u'_1 u_1^{\beta-1}|_p^p, \tag{5.2}$$

as well as, the identities

$$\|u_n\|^p - \|u_1\|^p - \|z_n\|^p = o(1), \quad \text{as } n \rightarrow \infty, \tag{5.3}$$

$$|u_n|_q^q - |u_1|_q^q - |z_n|_q^q = o(1), \quad \text{as } n \rightarrow \infty, \tag{5.4}$$

given by Brezis and Lieb [12]. From (5.2)–(5.4) it follows that

$$\liminf_{n \rightarrow \infty} [I(u_n) - I(u_1) - I(z_n)] \geq 0.$$

Hence taking a subsequence if necessary,

$$\lim_{n \rightarrow \infty} [I(u_n) - I(u_1) - I(z_n)] \geq 0,$$

and we conclude that

$$c_1 + o(1) = I(u_n) \geq I(u_1) + I(z_n) + o(1) = I_0(z_n) + I(u_1) + o(1). \tag{5.5}$$

Similarly, using Lemma 2.7 and Proposition 2.6, we obtain

$$o(1) = I'(u_n) \cdot u_n \geq I'(u_1) \cdot u_1 + I'(z_n) \cdot z_n + o(1) = I'_0(z_n) \cdot z_n + o(1).$$

Then

$$I'_0(z_n) \cdot z_n \leq o(1). \tag{5.6}$$

If  $\lim_{n \rightarrow \infty} I'_0(z_n) \cdot z_n < 0$ , then, for  $n$  large enough, by [2, Lemma 2.1(b)] there exists  $\lambda_n \in ]0, 1[$  such that  $\lambda_n z_n \in N$ . Furthermore one has that

$$\limsup_{n \rightarrow \infty} \lambda_n < 1. \tag{5.7}$$

Otherwise if  $\limsup_{n \rightarrow \infty} \lambda_n = 1$ , then along a subsequence, we have that  $\lambda_n \rightarrow 1$ , as  $n \rightarrow \infty$ . Hence

$$I'_0(z_n) \cdot z_n = I'_0(\lambda_n z_n) \cdot \lambda_n z_n + o(1).$$

Thus  $\lim_{n \rightarrow \infty} I'_0(z_n) \cdot z_n = 0$ . But this is a contradiction. Therefore  $\limsup_{n \rightarrow \infty} \lambda_n < 1$ .

Since that  $\lambda_n z_n \in N$ , by (5.5) we have that

$$\begin{aligned} c_1 + o(1) &= I(u_n) \geq I_0(z_n) + I(u_1) + o(1) \\ &= \frac{\beta - 1}{p\beta} \lambda_n^{-p} \int_{\mathbb{R}} \frac{1}{p} [|\lambda_n z'_n|^p + V(x)|\lambda_n z_n|^p] + \lambda_n^{-q} \frac{q - p\beta}{pq\beta} |\lambda_n z_n|_q^q + I(u_1) + o(1). \end{aligned}$$

Using the inequality (5.7) and taking the lim sup in the above inequality we obtain

$$c_1 \geq I_0(\lambda_n z_n)|_N + I(u_1) + o(1) = m + I(u_1),$$

and Lemma 5.1 is proved.

Now, we will study the case where  $\lim_{n \rightarrow \infty} I'_0(z_n) \cdot z_n = 0$ . Since the inequality (5.5) is satisfied, it is sufficient to prove that

$$I_0(z_n) \geq m + o(1).$$

This result follows by adapting some arguments used in [37] (see also [1,5]). In fact, define

$$\begin{aligned} \chi_n &\equiv I'_0(z_n) \cdot z_n, & \varphi_n &\equiv \int_{\mathbb{R}} [ |z'_n|^p + V(x)|z_n|^p ], \\ \theta_n &\equiv \int_{\mathbb{R}} \beta^p K_0 |z_n|^{p(\beta-1)} |z'_n|^p & \text{and} & \psi_n \equiv \int_{\mathbb{R}} |z_n|^q. \end{aligned}$$

Therefore

$$0 = \lim_{n \rightarrow \infty} I'_0(z_n) \cdot z_n = \lim_{n \rightarrow \infty} \chi_n = \lim_{n \rightarrow \infty} [\varphi_n + \theta_n - \psi_n]. \tag{5.8}$$

Using that  $V$  is bounded,  $z_n \in W^{1,p}(\mathbb{R})$ , Remark 2.5, we conclude that

$$\varphi_n, \psi_n, \theta_n \text{ are finite non-negative numbers} \tag{5.9}$$

and by  $(V_0)$  one has that

$$\varphi_n \geq C \|z_n\| \geq C \gamma > 0. \tag{5.10}$$

To conclude the proof of this lemma we need the following result:

**Affirmation 5.3.** *There exists a sequence  $(t_n) \subset \mathbb{R}$  such that*

$$\lim_{n \rightarrow \infty} t_n = 1 \quad \text{and} \quad I'_0(t_n z_n) \cdot t_n z_n = 0.$$

Assuming the affirmation for a while, we obtain

$$\lim_{n \rightarrow \infty} [I_0(z_n) - I_0(t_n z_n)] = \frac{1}{p}(1 - t_n^p) + K_0 \frac{\beta^{p-1}}{p}(1 - t_n^{p\beta}) \|z_n^{\beta-1} z'_n\|_p^p - \frac{1}{q}(1 - t_n^q) \|z_n\|_q^q = 0.$$

Since  $t_n z_n \in N$  we get

$$I_0(z_n) = I_0(t_n z_n) + o(1) \geq m + o(1).$$

This completes our proof.  $\square$

**Proof of Affirmation 5.3.** Let  $t = 1 + \tau$ , where  $\tau > 0$  is small enough. Using the definitions of  $\chi_n, \varphi_n, \theta_n$  and  $\psi_n$  we obtain

$$\begin{aligned} I'_0((1 + \tau)z_n) \cdot (1 + \tau)z_n &= (1 + \tau)^p \varphi_n + (1 + \tau)^{p\beta} \theta_n - (1 + \tau)^q \psi_n \\ &= [(1 + \tau)^p - (1 + \tau)^q] \varphi_n + [(1 + \tau)^{p\beta} - (1 + \tau)^q] \theta_n - (1 + \tau)^q \chi_n \\ &= \tau [(p - q)\varphi_n + (p\beta - q)\theta_n] + \varphi_n o(\tau) + \theta_n o(\tau) + (1 + \tau)^q \chi_n. \end{aligned}$$

Define

$$\tau_n \equiv \frac{K|\chi_n|}{\varphi_n(q - p) + \theta_n(q - p\beta)}, \quad \text{where } K > 1 \text{ is a constant.}$$

We can assume that  $\tau_n > 0$ . Using (5.8)–(5.10), we infer that

$$\lim_{n \rightarrow \infty} \tau_n = 0.$$

Note that

$$\begin{aligned} I'_0((1 + \tau_n)z_n) \cdot (1 + \tau_n)z_n &= \frac{K|\chi_n|}{\varphi_n(q - p) + \theta_n(q - p\beta)} [(p - q)\varphi_n + (p\beta - q)\theta_n] \\ &\quad + \varphi_n o(\tau_n) + \theta_n o(\tau_n) + \left[ 1 + \frac{K|\chi_n|q}{\varphi_n(q - p) + \theta_n(q - p\beta)} + o(\tau_n) \right] \chi_n \\ &= -K|\chi_n| + \chi_n + \frac{K|\chi_n|q}{\varphi_n(q - p) + \theta_n(q - p\beta)} + \varphi_n o(\tau_n) + \theta_n o(\tau_n) + \chi_n o(\tau_n). \end{aligned}$$

Using that  $\lim_{n \rightarrow \infty} \tau_n = 0$ , (5.9), (5.10) and that  $K > 1$  we conclude that

$$I'_0((1 + \tau_n)z_n) \cdot (1 + \tau_n)z_n < 0 \quad \text{for } n \text{ large enough.}$$

Similarly,

$$I'_0((1 - \tau_n)z_n) \cdot (1 - \tau_n)z_n > 0 \quad \text{for } n \text{ large enough.}$$

Hence there exists  $t_n$  between  $(1 - \tau_n, 1 + \tau_n)$  such that

$$I'_0(t_n z_n) \cdot t_n z_n = 0. \quad \square$$

**Proof of Lemma 5.2.** The proof of the lemma follows by using the next affirmation.

**Affirmation 5.4.**  $\sup_{t \geq 0} I(t\bar{u}) < m + c.$

**Proof.** We need to prove that  $c + m > 0$  for  $\delta_1 > 0$  and  $R > 0$  given in the proof of Theorem 1.1. In fact, let  $u$  be a solution of the problem (1.1) obtained by Theorem 1.1. Since  $I'(u) \cdot u = 0$  one has

$$c = I(u) = \left[ \frac{1}{p} - \frac{1}{q} \right] \int_{\mathbb{R}} [|u'|^p + V(x)|u|^p] + K_0 \beta^{p-1} \left[ \frac{q-p\beta}{pq} \right] |u^{\beta-1} u'|^p - \left[ 1 - \frac{1}{q} \right] \int_{\mathbb{R}} g(x)u(x) dx.$$

Using the fact that the second term is positive together with the condition  $(V_0)$ , the Hölder’s inequality, Remark 2.5, we conclude that

$$c \geq \left[ \frac{1}{p} - \frac{1}{q} \right] C \|u\|^p - \left[ 1 - \frac{1}{q} \right] |g|_s \|u\|,$$

where  $C$  is a constant. Applying the Young’s inequality, we find that

$$c \geq \left[ \frac{1}{p} - \frac{1}{q} \right] C \|u\|^p - \frac{\lambda^p}{p} \|u\|^p - \frac{M}{p' \lambda^{p'}} \left[ 1 - \frac{1}{q} \right]^{p'} |g|_s^{p'} \quad \left( p' = \frac{p}{p-1} \right).$$

Now taking the constant  $\lambda \equiv (1 - \frac{p}{q})^{\frac{1}{p}}$  and arguing as [37] (see also [5]) one has that  $\frac{\lambda^p}{p} = \frac{1}{p} - \frac{1}{q}$  and  $\frac{M}{p' \lambda^{p'}} [1 - \frac{1}{q}]^{p'} = \frac{M}{p'} [ \frac{q-1}{q} ]^{p'} \frac{1}{(1-\frac{p}{q})^{1/(p-1)}} \equiv \mu > 0$ . Then

$$c \geq -\mu |g|_s^{p'}. \tag{5.11}$$

Choosing  $|g|_s$  sufficiently small, we obtain that the negative real number  $c$  is close enough to zero and since  $m > 0$  we conclude that  $c + m > 0$ .

As  $c + m > 0 = I(0)$  and the functional  $I$  is continuous, there exist  $t' > 0$  and  $\epsilon' > 0$  (which is uniformly with respect to all  $g$  satisfying  $0 < |g|_s < \epsilon'$ ), such that

$$\sup_{t \in [0, t']} I(t\bar{u}) < m + c \quad \text{if } |g|_s < \epsilon' < \delta_1.$$

Thus, to conclude Affirmation 5.4 it is enough to prove that

$$\sup_{t \geq t'} I(t\bar{u}) < m + c \quad \text{if } |g|_s \text{ is sufficiently small.}$$

But, since  $I(\bar{u}) = m$ , by a property of the Nehari’s manifold, namely,  $I_0(\lambda u) \leq I_0(u)$ , for every  $u \in N$  and for  $\lambda > 0$ , we have that

$$I(t\bar{u}) \leq \sup_{t \geq t'} I(t\bar{u}) \leq m - t' \int_{\mathbb{R}} g(x)\bar{u}(x) dx = m - t' \epsilon a_0,$$

where  $a_0 = \int_{\mathbb{R}} f(x)\bar{u}(x) dx$  is positive because  $f \in C_+$ ,  $|f|_s = 1$  and  $g = \epsilon f$ . From (5.11) and from the fact that  $|g|_s = \epsilon^s |f|_s = \epsilon^s < \epsilon$  with  $\epsilon \leq \epsilon''$  it follows that

$$c > -\mu \epsilon^{p'}.$$

Choosing  $\epsilon'' > 0$  sufficiently small such that  $-t' \epsilon a_0 < -\mu \epsilon^{p'}$  for all  $\epsilon < \epsilon''$  we conclude that

$$I(t\bar{u}) < m + c.$$

To finish the proof it is enough to take  $\delta_2 = \min\{\epsilon', \epsilon''\}$ .  $\square$

**Remark 5.5.** The weak non-negative solution of problem (1.1),  $u \in C^{1,\alpha}(\mathbb{R})$  with  $\alpha \in (0, 1)$ , is strictly positive in  $\mathbb{R}$ . This fact can be proved by applying Vázquez’s result [41, Theorem 5].

In fact, let  $u \geq 0$  be a solution of problem (1.1). By changing variable,  $u = f(v)$ , we obtain  $v \geq 0$  and  $v$  verifies the following equation:

$$-(|v'|^{p-2} v')' + [V(x)|f(v)|^{p-2} f(v)] f'(v) = f'(v) [ |f(v)|^{q-2} f(v) ] + g(x) \quad x \in \mathbb{R}.$$

Notice that  $u \neq 0$ , thus  $v \neq 0$ . Since  $g \in C_+$ , then  $g \geq 0$  a.e. in  $\mathbb{R}$  and we obtain that

$$-(|v'|^{p-2}v')' + [V(x)|f(v)|^{p-2}f(v)]f'(v) \geq 0 \quad \text{a.e. in } \mathbb{R}.$$

Define, as in Vázquez [41, Theorem 5],

$$\beta(s) \equiv [ |f(s)|^{p-2}f(s) ] f'(s).$$

By Lemma 2.1(c) and (e) we have

$$[\beta(s)s]^{-\frac{1}{p}} \geq \left[ \frac{1}{\beta} f(s) |f(s)|^{p-2} f(s) \right]^{-\frac{1}{p}} = \frac{1}{f(s)} \geq \frac{1}{|s|}.$$

Therefore

$$\int_0^1 [\beta(s)s]^{-\frac{1}{p}} ds = +\infty.$$

Then, by applying Maximum Principle due to Vázquez we obtain  $v > 0$  in  $\mathbb{R}$ . Therefore  $u$  is strictly positive in  $\mathbb{R}$ .  $\square$

## Acknowledgments

The authors thanks two anonymous referees for helpful and constructive comments.

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