

RICHARD MARINS DA SILVA

CASEÍNA MICELAR COMO NANOCARREADOR PARA CURCUMINA

Dissertação apresentada à Universidade Federal de Viçosa, como parte das exigências do Programa de Pós-Graduação em Ciência e Tecnologia de Alimentos, para obtenção do título de *Magister Scientiae*.

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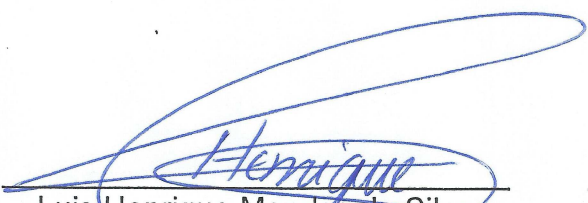
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APROVADA: 17 de julho de 2017.


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À minha avó.

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RESUMO

SILVA, Richard Marins, M.Sc., Universidade Federal de Viçosa, julho de 2017. **Caseína micelar como nanocarreador para curcumina**. Orientadora: Ana Clarissa dos Santos Pires. Coorientador: Luis Henrique Mendes da Silva.

A curcumina é um composto fenólico presente no açafrão, com múltiplas atividades biológicas, incluindo anti-câncer, anti-microbiana, anti-inflamatória e antioxidante além de ser um corante natural. Neste estudo, a interação entre a caseína micelar e a curcumina foi investigada em pH 6,6. Através da análise de UV-Vis e fluorescência, demonstrou-se que a interação entre a caseína micelar e curcumina ocorre por um mecanismo predominantemente estático. A constante de ligação variou de $1.28 \times 10^{-5} \text{ L.mol}^{-1}$ a $9.52 \times 10^{-4} \text{ L.mol}^{-1}$ para a faixa de temperatura de 295.15 - 323.15 K. A estequiometria de formação de complexo foi aproximadamente unitária pela análise de fluorescência. De acordo com os resultados obtidos pela análise termodinâmica, a interação entre caseína micelar e curcumina é entalpicamente e entropicamente dirigida, através de um processo espontâneo. Ao realizar uma experiência de partição, a presença de concentrações crescentes de caseína micelar na fase aquosa aumentou o número de moléculas de curcumina transferidas da fase orgânica. O diâmetro médio da micela de caseína na presença de curcumina foi de cerca de 290 nm e o potencial zeta, $\sim -16 \text{ mV}$. A micela de caseína foi capaz de proteger a curcumina contra a degradação térmica. Os resultados deste estudo contribuem para o conhecimento do processo de interação entre a caseína micelar e a curcumina para futuras aplicações em diversas áreas da indústria de alimentos.

ABSTRACT

SILVA, Richard Marins, M.Sc., Universidade Federal de Viçosa, July, 2017. **Micellar casein as a nanocarrier for curcumin**. Adviser: Ana Clarissa dos Santos Pires. Co-adviser: Luis Henrique Mendes da Silva.

Curcumin is a phenolic compound present in turmeric, with multiple biological activities, including anti-cancer, anti-microbial, anti-inflammatory and antioxidant in addition to natural dye. In this study, the interaction between micellar casein and curcumin was investigated at pH 6.6. Through the analysis of UV-Vis and fluorescence, it was demonstrated that the interaction between micellar casein and curcumin occurs by a predominantly static mechanism. The binding constant ranging from $1.28 \times 10^{-5} \text{ L.mol}^{-1}$ to $9.52 \times 10^{-4} \text{ L.mol}^{-1}$ for the temperature range of 295.15 - 323.15 K. The complex-forming stoichiometry was approximately unit by fluorescence analysis. According to the results obtained by the thermodynamic analysis, the interaction between micellar casein and curcumin is enthalpically and entropically directed, through a spontaneous process. By performing a partition experiment, the presence of increasing concentrations of micellar casein in the aqueous phase increased the number of curcumin molecules transferred from the organic phase. The mean diameter of the casein micelle in the presence of curcumin was around 290 nm and the zeta potential, $\sim -16 \text{ mV}$. The casein micelle was able to protect curcumin against thermo-degradation. The results of this study contribute to the knowledge of the interaction process between micellar casein and curcumin for future applications in various areas of the food industry.

Capítulo 1

1. Introdução

Os aditivos alimentares fazem parte da composição de alimentos processados, sendo os corantes alimentares um dos principais constituintes das formulações. Os corantes são adicionados a fim de tornar o alimento mais atraente ao consumidor, aprimorar a cor já existente que pode se degradar durante o processamento e/ou armazenamento ou até mesmo, atribuir novas cores ao produto. Esses corantes são divididos entre naturais e sintéticos, sendo os sintéticos mais utilizados.

Alguns corantes vêm sendo estudados por serem compostos naturais e por apresentarem efeitos benéficos especialmente relacionados à saúde do consumidor. A curcumina por exemplo, molécula oriunda do açafrão, é um corante natural muito utilizado na culinária, além de apresentar capacidade antioxidante, antimicrobiana, anti-inflamatória e anticâncer. Entretanto, o emprego desses compostos naturais ainda é limitado, em razão da sua instabilidade à luz, pH alcalino e elevadas temperaturas e, além disso, apresentam baixa solubilidade em meio aquoso.

O estudo de métodos para incorporar essas moléculas em formulações alimentícias, protegendo-as de fatores adversos que poderiam degradá-las bem como o aumento da sua solubilidade, são de extrema importância e necessários. As proteínas do leite, são consideradas excelentes carreadores naturais de componentes. Essas proteínas possuem alto valor nutricional, boas propriedades sensoriais e tecnológicas como estabilizante, emulsificante e na formação de espumas. O balanço de interações hidrofílicas/hidrofóbicas que essas proteínas fazem, podem torná-las um veículo capaz de interagir com diversas moléculas com diferentes graus de intensidade e especificidade, podendo então transportar essas moléculas em diferentes matrizes alimentícias.

Muitos trabalhos têm sido realizados na área de carreamento de compostos, mas são poucos os que utilizam a caseína micelar como veículo de compostos de interesse em alimentos, bem como os que tratam da termodinâmica de interação entre caseína micelar e curcumina. O estudo da interação entre caseína micelar e a curcumina por meio da análise termodinâmica será uma grande fonte de informação a respeito da formação

deste complexo, além do conhecimento sobre a estequiometria de carregamento, tamanho, potencial zeta da caseína micelar na presença de curcumina e estabilidade térmica da curcumina.

2. Objetivos

2.1 Geral

- Estudar a termodinâmica de interação entre caseína micelar e o corante curcumina em diferentes temperaturas e pH 6.6.

2.2 Específicos

- Estudar a influência temperatura nas interações entre caseína micelar e curcumina, determinando a constante de interação (K_a), estequiometria de formação de complexo (n) e os parâmetros termodinâmicos de formação de complexo (ΔG° , ΔH° e ΔS° (variação da energia livre de Gibbs, entalpia e entropia padrão de formação do complexo, respectivamente));
- Determinar a influência da concentração de curcumina no tamanho e no potencial zeta dos complexos caseína micelar-curcumina formados;
- Avaliar a estabilidade à temperatura da curcumina livre e complexada com a caseína micellar;
- Determinar a estequiometria de formação de complexo (n) através da transferência de moléculas entre duas fases.

3. Revisão da Literatura

3.1 Micelas de Caseínas: Estrutura e Função

O leite bovino contém em torno de 3,3 m/v de proteínas totais e as caseínas, representam aproximadamente 80% desse total (Farrell et al., 2004). Elas são proteínas que precipitam em pH 4,6 e são consideradas intrinsecamente desordenadas. No leite, as caseínas formam partículas coloidais altamente hidratadas conhecidas como micela. A micela de caseína é uma associação de frações formada dentro das células secretoras das glândulas mamárias das quatro parcelas de proteínas anfifílicas, κ -, α 1-, α 2-, e β -caseína. A fosforilação das caseínas é essencial para ligação de Ca^{2+} e associação das frações (Kjeldsen, Savitski, Nielsen, Shi, & Zubarev, 2007), que ocorre nos resíduos de serina das frações sensíveis ao cálcio, α 1- α 2- e β -caseína, proporcionando um local para a ligação de cálcio e a subsequente formação de nanopartículas de fosfato de cálcio que servem para ligar as regiões hidrofílicas das frações e ajudar na estabilidade global da micela de caseína (Farrell, Malin, Brown, & Qi, 2006).

Muitos modelos para a estrutura das micelas de caseína têm sido propostos e ainda, no que diz respeito às interações envolvidas na sua montagem e manutenção da integridade destas partículas, controvérsia entre os pesquisadores permanece. No entanto, há um consenso geral de que as caseínas são mantidas por um equilíbrio entre interações eletrostáticas e hidrofóbicas (Horne & Horne, 2006).

O tamanho da micela de caseína encontrado na literatura é variado, isto é, elas são altamente poli-dispersas. A distribuição de tamanhos varia de 80 – 800 nm, com um diâmetro médio de 200 nm (Dalglish, 2011). Contudo, o tamanho das micelas de caseína ainda é muito discutido, alguns autores relataram populações de mini-micelas, com diâmetro entre 20 e 60 nm, enquanto outros consideram seu tamanho entre 20 e 40 nm (Müller-Buschbaum, Gebhardt, Roth, Metwalli, & Doster, 2007). O tamanho da micela ainda é contestado, variando de acordo com a técnica analítica utilizada.

A micela de caseína é constituída por quatro frações principais de proteína: α 1-, α 2-, β - e κ -caseína, representando cerca de 38%, 10%, 35% e 15%, respectivamente, as quais são constituídas por 199, 207, 209 e 169 resíduos de aminoácidos, com massas moleculares de 23, 25, 24 e 19 kDa,

respectivamente (VEGA, 2009). Além disso, cerca de 6% da massa, em base seca, da micela de caseína é composta por fosfato de cálcio coloidal (Dalgleish, 2011).

De um ponto de vista industrial, tecnológico e de produtos lácteos, as caseínas são, de longe, o componente mais importante e valioso do leite. Os principais produtos lácteos como leite líquido, queijo e iogurte derivam suas propriedades texturais, sensoriais e nutricionais das caseínas (De Kruif, Huppertz, Urban, & Petukhov, 2012). Para o recém-nascido, elas são facilmente metabolizadas e carregam substanciais quantidades dos elementos importantes de nutrição cálcio, magnésio e fósforo (como fosfato). A sua hidratação e fortes interações entre elas e com vários outros componentes, tornaram-nas valorizados ingredientes em alimentos (Farrell, 2011).

3.2 Corantes

A cor é um caráter sensorial muitas vezes esquecido, que certamente influencia a percepção de sabor. Para manter ou restabelecer a uniformidade da cor do produto, agentes, considerado mundialmente como aditivos alimentares de coloração, são intencionalmente adicionados aos produtos alimentares (Solymosi, Latruffe, Morant-Manceau, & Schoefs, 2015).

Os consumidores exigem alimentos que são atraentes e apetitosos. Tal fatores estimulam o apetite e sistema digestivo e contribuem para o prazer de comer e beber. A cor é frequentemente associada com qualidade. Por exemplo, tomates verdes e bananas seriam rejeitados pelos consumidores como sendo imaturos pelo fato de serem de cor verde. Muitos alimentos são produtos sazonais e, como tal, estão sujeitos a variação em cor (por exemplo, produtos lácteos). Tal variação da cor pode ser minimizada pela adição de corantes alimentares para o produto para produzir um material uniforme, durante todo o tempo de prateleira (Damant, 2011).

Segundo a FDA, um corante alimentar é: “qualquer corante, pigmento ou substância que, quando adicionado ou aplicado a um alimento, medicamento ou cosmético, ou para o corpo humano, é capaz (isoladamente ou através de reacções com outras substâncias) de transmitir cor” (“Food and Drug Administration,” 2010).

Não é de hoje que várias condições externas, tais como condições de luz, ar, temperatura, umidade e armazenamento desempenham um papel crucial na perda de cor dos alimentos (Cejudo-Bastante, Hurtado, Mosquera, & Heredia, 2014). Assim, corantes alimentares são aplicados principalmente para compensar e superar essas características desagradáveis, como também para homogeneizar a cor dos alimentos, através da correção de variações de cor e/ou melhoria dos que ocorrem naturalmente cor dos alimentos.

Corantes alimentares podem ser classificados de acordo com vários critérios: origem (natural, idêntico ao natural ou sintético; orgânico e inorgânico), solubilidade (solúveis e insolúveis) e de cobertura (transparente e opaco). No entanto, essas categorias muitas vezes se sobrepõem. A classificação mais utilizada é a distinção entre substâncias solúveis e insolúveis (Amchova, Kotolova, & Ruda-Kucerova, 2015):

3.2.1 Curcumina

A curcumina (Figura 1), uma das cores amarela naturais mais comuns, é derivada a partir da raiz da *Curcuma longa* L. com o constituinte principal coletivamente conhecido como curcumina, juntamente com pequenas quantidades de desmetoxicurcumina e bisdemetoxicurcumina (Mirjalili & Karimi, 2013) geralmente utilizado como especiaria e corante alimentar. Quimicamente, tem massa molar de em $\text{pH} < 1$, as soluções aquosas de curcumina têm uma cor vermelha que indica a forma protonada. Na faixa de $\text{pH} 1-7$, a maioria das espécies está na forma neutra. A solubilidade em água é muito baixa nesta gama de pH e as soluções são amarelas. A $\text{pH} > 7.5$, a cor muda para vermelho. Os valores de pK_a para a dissociação foram determinados como sendo de 7,8, 8,5 e 9,0, respectivamente (Stankovic, 2004). Apresenta baixa solubilidade em água, em pH ácido e neutro, mas é solúvel em soluções aquosas básicas e em óleo (SUETH-SANTIAGO et al., 2015; STANKOVIC, 2004).

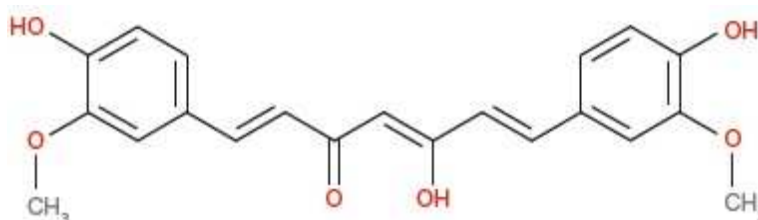


Figura 1: Estrutura química da curcumina

Corantes derivados de alimentos naturais, incluindo especiarias, frutas e legumes têm atraído recentemente grande interesse devido às suas atividades de promoção da saúde (Moussa, Hmadeh, Abiad, Dib, & Patra, 2016). Um aspecto importante sobre estudos com curcumina é sua utilização como substituto de corantes artificiais, tais como o amarelo #5 e amarelo #6, os quais já são conhecidos como um potencial alergênico, particularmente em crianças (Borin, Georges, Moraes, & Pinho, 2016). Estudos recentes têm demonstrado que a curcumina tem um amplo espectro de atividades terapêuticas, incluindo antioxidantes, anti-inflamatória, anticâncer, antimicrobiano, cicatrização de feridas e a capacidade potencial de prevenção de doenças neuro-degenerativas (LI et al., 2016; LIU et al., 2016; LIU et al., 2015).

A curcumina é listada para uso em produtos lácteos, óleos e gorduras, emulsões, gelados comestíveis, frutas e produtos hortícolas, produtos de confeitaria, derivados de cereais, produtos de panificação, carne e produtos cárneos, peixe e seus derivados, ovos e seus derivados, temperos, sopas, molhos e produtos de proteína, gêneros alimentícios destinados a uma alimentação especial, bebidas e salgados. Níveis de utilização de curcumina está entre de 5 a 500 mg/kg (Stankovic, 2004), dependendo da categoria de alimentos. Apesar dos vários benefícios que esse corante natural apresenta, ele apresenta uma baixa biodisponibilidade, tendo uma fraca solubilidade em meio aquoso, hidrólise rápida seguida por fragmentação molecular em pH fisiológico (Lin, Pan, & Lin-Shiau, 2000), alcalino e na presença de luz (Stankovic, 2004).

3.3 Interação Proteínas e Corantes

O uso de proteínas como veículos naturais para compostos hidrofóbicos apresenta-se com grande potencial de aplicação na indústria de alimentos (Sekhon & Singh Sekhon, 2010). Há muitos aspectos sobre as proteínas que

estão diretamente relacionados com as suas interações com outras moléculas. As micelas de caseína por exemplo, são aglomerados proteicos de estrutura aberta e de natureza anfifílica, que contêm numerosos resíduos de prolina distribuídos uniformemente ao longo da sua estrutura, proporcionando maior interação com compostos fenólicos (Faridi Esfanjani & Jafari, 2016). Estas interações conferem a capacidade de atuar como carreadores de compostos fenólicos e de outros diferentes compostos de natureza hidrofóbica, por exemplo.

As proteínas do leite são veículos naturais, que evoluíram para entregar micronutrientes essenciais (por exemplo, de cálcio e fosfato) e blocos de construção (por exemplo, aminoácidos), bem como os componentes do sistema imune (por exemplo, imunoglobulinas e lactoferrina), da mãe para o recém-nascido. Algumas delas (por exemplo, β -lactoglobulina e albumina do soro de bovino) podem interagir com uma variedade de pequenas moléculas (LIVNEY, 2010; LELIS et al., 2017;ZHANG; ZHONG, 2012).

As nanoestruturas de proteína têm a capacidade de interagir com uma grande variedade de produtos, em resposta a alterações de condições ambientais, além de proteger os compostos sensíveis da degradação e controlar a sua biodisponibilidade (Ramos et al., 2015). As interações entre proteínas e outros compostos são dirigidas e estabilizadas de forma reversível por interações eletrostáticas, hidrofóbicas, pontes de hidrogênio e forças de van der Walls, e muitas vezes ocorrem de forma espontânea. Os parâmetros de ligação vão depender da natureza química do ligante, condições do meio e a conformação da proteína (Tavares, Croguennec, Carvalho, & Bouhallab, 2014).

A complexação entre proteínas e compostos fenólicos (especialmente polifenóis) tem atraído o interesse da indústria de alimentos, uma vez que pode fornecer uma estratégia eficaz para melhorar a prestação e biodisponibilidade de muitos compostos ativos insolúveis em água, como a curcumina (Livney, 2010).

Muitos trabalhos têm sido realizados a respeito do uso de proteínas como veículos de compostos em alimentos afim de determinar os parâmetros de interação com ligantes. Ying et al. (2015) realizaram estudo de interação entre curcumina e pepsina através de técnicas espectrofotométricas, obtendo resultados relacionados ao tipo de mecanismo de interação (predominantemente dinâmico), constante de associação (10^5 - 10^7 L.mol⁻¹ com o aumento da temperatura), número de sítios de interação (~1) e elucidando o local de interação e as energias de interação; Penalva et al. (2015) utilizaram caseínas

como carreador de ácido fólico, encapsulando 25 µg de ácido fólico por mg de caseína, com uma eficiência de 40%, e prevenindo a degradação do mesmo em meio ácido e aumentando sua biodisponibilidade oral em 50% em relação a solução aquosa ; Nadi et al. (2014) estudaram a interação entre curcumina com BSA e caseínas, concluindo que a interação foi capaz de estabilizar a curcumina mediante fatores externos de degradação.

3.4 Métodos Instrumentais de Análise

Diversas técnicas são capazes de avaliar a interação intermolecular entre proteínas e corantes. Técnicas espectroscópicas são ferramentas úteis para obter informações importantes sobre as interações intermoleculares. Associação do ligante com as proteínas normalmente envolve mudanças nas interações intramoleculares e intermoleculares. As mudanças nas interações são refletidas na variação da absorção de energia, emissão de fluorescência, variação de entalpia e entropia de interação, que por sua vez determinam a variação da energia livre de interação.

3.4.1 Espectroscopia do UV-Vis

Os espectros de absorção de UV próximos aos resíduos de aminoácidos aromáticos em proteínas contêm informações abundantes relacionadas às conformações protéicas. A espectroscopia UV usa algoritmos para topografia de superfície molecular e para a acessibilidade de certos grupos de átomos ao solvente. Os algoritmos também permitem a análise da disposição tridimensional de átomos e grupos dentro do ambiente de grupos cromóforos. Os picos espectrais na faixa de 250-265 nm correspondem aos resíduos de fenilalanina e aqueles no atributo da região 265-280 nm às interações eletrônicas de tirosina-triptofano, enquanto que os picos acima de 285 nm são identificados exclusivamente como contribuições de triptofano (Wang, Sun, Pu, & Wei, 2017)

Medidas de absorção realizados em UV-VIS é um método simples para analisar mudanças estruturais complexos no estado fundamental (Xu et al., 2009). Alterações conformacionais da proteína irão conduzir às mudanças no microambiente e nos espectros de absorção de UV-Vis dos cromóforos. Se existir alguma diferença nos espectros de absorção de macromoléculas antes e

depois da adição de algum ligante, é considerado que a conformação da proteína foi alterada (H. Bi, Tang, Gao, Jia, & Lv, 2016). O sentido e a magnitude do deslocamento do espectro de absorção de um cromóforo indicará que este pode ter sido transferido para um ambiente mais hidrofílico ou hidrofóbico (Wen et al., 2009).

3.4.2 Espectroscopia de Fluorescência

A fluorescência é a emissão de fótons devido à absorção de luz UV ou visível de cromóforos que podem emitir fótons. Os princípios da geração de fluorescência podem ser elucidados por um diagrama de Jablonski. Em geral, um espectrofluorímetro compreende seis componentes: uma fonte de luz, que normalmente é uma lâmpada de mercúrio ou xenônio para a emissão de luz UV ou visível, um suporte de amostra, dois monocromadores e/ou filtro (s), com um para selecionar os comprimentos de onda de excitação e o outro para selecionar os comprimentos de onda de emissão, um detector para converter a luz emitida para o sinal eletrônico e uma unidade de aquisição de dados (Wang et al., 2017).

As proteínas contêm três resíduos de aminoácidos que contribuem com sua fluorescência intrínseca: triptofano, tirosina e fenilalanina (Lakowicz, 2006). A micela de caseína possui vários desses resíduos de aminoácidos (30000 – 225000 resíduos de triptofano) que vão permitir o estudo de interações entre ligantes e a micela por meio da técnica de espectroscopia de fluorescência. A extinção de fluorescência é qualquer processo que resulta numa diminuição da intensidade de fluorescência de um fluoróforo. Uma variedade de interações moleculares pode resultar em extinção, incluindo reações no estado excitado, rearranjos moleculares, transferência de energia, a formação do complexo estado fundamental e extinção colisional (Lakowicz, 2006).

A redução da intensidade de fluorescência pode ser descrita pela Equação 1, conhecida como equação de Stern-Volmer (Lakowicz, 2006):

$$\frac{F_0}{F} = 1 + K_{sv}[Q] = 1 + k_q\tau_0[Q] \quad (1)$$

Em que F_0 e F são as intensidades de fluorescência na ausência e na presença de diferentes concentrações de ligantes, respectivamente. K_{sv} é a constante de supressão de Stern-Volmer ($L \cdot mol^{-1}$), $[Q]$ é a concentração ($mol \cdot L^{-1}$) do agente de supressão (ligante) da fluorescência, k_q é a constante de taxa de supressão

(L.mol⁻¹.s⁻¹) e T₀ é o tempo de meia-vida da proteína na ausência do supressor (cerca de 10⁻⁸ s para proteínas).

O estudo de extinção de fluorescência fornece informações sobre as interações do ligante, como corantes naturais, e a proteína e seus sítios de ligação. Se ligantes interagem com uma proteína próximo à região de um fluoróforo, isso induzirá há uma mudança no microambiente destes fluoróforos, então o comportamento da emissão de fluorescência da proteína será afetado.

Se o mecanismo de supressão é estático, isto é, devido à formação de um complexo entre a proteína e o ligante no estado fundamental, a constante de ligação (K_a) e o número de sítios de ligação fluoróforo (a estequiometria de formação do complexo (n)) poderão ser obtidos por meio da Equação 2.

$$\text{Log} \frac{(F_0 - F)}{F} = n \text{Log} K_a - n \text{Log} \left(\frac{1}{[Q_t] - \frac{(F_0 - F)[P_t]}{F_0}} \right) \quad (2)$$

em que K_a é a constante aparente de interação (L.mol⁻¹) ; n é a estequiometria média de formação de complexo; [Q_t] e [P_t] são as concentrações totais de ligante e proteína, respectivamente em mol.L⁻¹.

Através da análise termodinâmica, é possível obter informações importantes a respeito da estabilidade do complexo, bem como as energias que regem a formação deste complexo. Diretamente a partir do K_a, consegue-se obter a variação da energia livre de Gibbs padrão de formação do complexo (ΔG°) através da Equação 3. Os valores de ΔH° (variação da entalpia padrão de formação de complexo) serão obtidos a partir da aproximação de van't Hoff, por meio do ajuste linear entre os valores de ln K_a versus o inverso da temperatura (Equação 4), R é a constante universal dos gases (8,314 J.mol⁻¹.K⁻¹) e T é a temperatura em Kelvin. Com os valores de ΔG° e ΔH° foi possível obter os valores de ΔS° (variação da entropia padrão de formação de complexo) através da equação fundamental de Gibbs (Equação 5).

$$\Delta G^\circ = -RT \ln K_a \quad (3)$$

$$\ln \frac{ka_2}{ka_1} = \frac{\Delta H^\circ}{R} \left(\frac{1}{T_1} - \frac{1}{T_2} \right) \quad (4)$$

$$\Delta G^\circ = \Delta H^\circ - T \Delta S^\circ \quad (5)$$

3.4.3 Medidas de Tamanho e Potencial Zeta

Para avaliar os efeitos da formação de complexos na estrutura e carga dos aglomerados proteicos, podemos utilizar de medidas de potencial zeta e tamanho. É importante investigar estes dois parâmetros durante o desenvolvimento de complexos, especialmente dado o fato de que matrizes biológicas são conhecidas por alterar estas duas características quando ocorrer a formação de complexos (por exemplo, a adsorção de proteínas) (Bhattacharjee, 2016).

Com a medida do potencial zeta, consegue-se perceber os efeitos da formação do complexo na estrutura e carga da proteína ou se a interação pode mudar a distribuição dos aminoácidos e, com isso, alterar o potencial zeta. E junto com o potencial zeta, a medida de tamanho pode nos dar ideia se a formação do complexo está causando agregação da proteína ou até mesmo a desestruturação da mesma.

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Capítulo 2

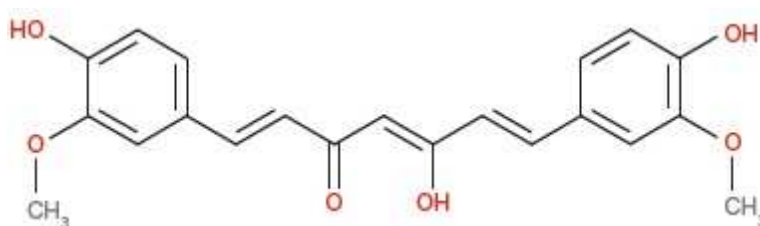
Curcumin-casein micelle binding: thermodynamic of interaction and kinetic of curcumin thermodegradation

Abstract – Curcumin is a phenolic compound present in turmeric, with multiple biological activities, including anti-cancer, anti-microbial, anti-inflammatory and antioxidant in addition to natural dye. In this study, the interaction between micellar casein and curcumin was investigated at pH 6.6. Through the analysis of UV-Vis and fluorescence, it was demonstrated that the interaction between micellar casein and curcumin occurs by a predominantly static mechanism. The binding constant ranging from $1.28 \times 10^{-5} \text{ L.mol}^{-1}$ to $9.52 \times 10^{-4} \text{ L.mol}^{-1}$ for the temperature range of 295.15 - 323.15 K. The complex-forming stoichiometry was approximately unit by fluorescence analysis. According to the results obtained by the thermodynamic analysis, the interaction between micellar casein and curcumin is enthalpically and entropically directed, through a spontaneous process. By performing a partition experiment, the presence of increasing concentrations of micellar casein in the aqueous phase increased the number of curcumin molecules transferred from the organic phase. The mean diameter of the casein micelle in the presence of curcumin was around 290 nm and the zeta potential, $\sim -16 \text{ mV}$. The casein micelle was able to protect curcumin against thermo-degradation. The results of this study contribute to the knowledge of the interaction process between micellar casein and curcumin for future applications in various areas of the food industry.

Keywords: UV-Vis, fluorescence, micellar casein, curcumin, thermodynamic.

27 Introduction

28 Curcumin (diferuloylmethane) is the main bioactive phenolic (Figure S1)
29 compound derived from the root of *Curcuma longa* L., which may be used as a
30 natural dye. In addition to the dye function, recent studies have shown that
31 curcumin has a broad spectrum of therapeutic activities, including antioxidants,
32 anti-inflammatory, anti-cancer, antimicrobial, wound healing and potential
33 prevention of neurodegenerative diseases (Li, Hwang, Chen, & Park, 2016; Fang
34 et al., 2016; Y. Liu, Cai, Jiang, Wu, & Le, 2015).



35

36 Figure S1. Chemical structure of Curcumin

37

38 The curcumin can be used as a substitute for natural dyes, such as yellow
39 # 5 and yellow # 6 that are known as potential allergens, particularly in children
40 (Borrin et al., 2016). In spite of the several benefic properties of curcumin, it
41 presents low bioavailability and water solubility and fast hydrolysis followed by
42 molecular fragmentation in physiological and alkaline pH (Lin et al., 2000) and in
43 the presence of light (Stankovic, 2004). These characteristics limitate its
44 successful application in different áreas, therefore, strategies are needed to
45 improve the solubility, bioactivity and bioavailability of lipophilic phytochemicals.

46 Food industry has been focused on the development of nanometric
47 delivery systems for functional ingredients and additives (e.g., vitamins,
48 antimicrobials, antioxidants, flavorings, dyes and preservatives) (Nunes et al.,
49 2017). Casein micelle (CM), for example, show potential for nanodelivery
50 systems because they are natural carriers of essential nutrients from the mother

51 to the newborn. In addition, its open structure, due to the high content of proline,
52 together with calcium phosphate bridges, makes this protein a nanocarrier with
53 excellent mechanism of controlled release of compounds in the stomach (Livney,
54 2010).

55 The interaction between milk proteins and different compounds of
56 interest in the food area has been studied, representing great potential in the area
57 of product innovation and development (Penalva et al., 2015; Arroyo-Maya,
58 Campos-Terán, Hernández-Arana, & McClements, 2016; Sneharani, Singh, &
59 Rao, 2009). Nadi et al. (2014) studied the interaction between casein from bovine
60 milk – technical grade and curcumin, as a food grade biopolymer and a safe drug
61 delivery system, through spectrophotometric and fluorescence analyzes at pH
62 7.0, thus obtaining the interaction parameters (binding constant ($13.3 \times 10^5 \text{ L.mol}^{-1}$),
63 binding sites (~ 1) and thermodynamics parameters). Elucidating that casein
64 may be a good matrix to increase the stability of curcumin in water. Khanji et al.
65 (2015) studied the interaction between phosphocaseins micelles and curcumin
66 by fluorescence spectroscopy in pH in the range of 5.0 – 7.4 (298.15 K and K^b
67 $7.5 - 3.9 \times 10^4 \text{ L.mol}^{-1}$), showing that higher temperatures ($293.15 - 308.15 \text{ K} - K^b$
68 in the range of $0.6 - 6.6 \times 10^4 \text{ L.mol}^{-1}$) favored curcumin – micelle casein
69 interactions. The micelle casein zeta potential remained unchanged (-12.0 ± 0.7
70 $\text{mV} - 293.15 \text{ K}$) after curcumin addition.

71 However, there is still little information about native casein micelles as
72 carrier. Native casein micelles stand out as potential nanocarriers due to
73 maintainance of natural properties found in milk or dairy product as the natural
74 delivery mechanism and they are remarkably more stable to thermal processing
75 compared to other proteins such as whey proteins (Tavares et al., 2014).

76 Therefore, the objective of this study was to use techniques such as UV-
77 Vis and fluorescence spectroscopy to characterize the interaction between
78 micellar casein and curcumin at different temperatures and pH 6.6. We used
79 native casein micelle at simulated milk pH in vitro. We present, for the first time,
80 a partition experiment, characterizing the interaction stoichiometry between
81 micellar casein and curcumin. We evaluated the size and zeta potential as well
82 as the thermal degradation kinetics of curcumin in the absence and presence of
83 casein micelle.

84 Material and Methods

85 Material

86 Curcumin ($\geq 94\%$ curcuminoids and $\geq 80\%$ curcumin - Sigma Aldrich),
87 sodium phosphate monohydrate, bibasic, tribasic, sodium azide and DMSO
88 (analytical grade reagents). Micellar casein was obtained by centrifugation
89 according to Sahu, Kasoju, & Bora (2008).

90 Methods

91 UV-Visible Absorption Spectroscopy

92 Absorption spectra of the micellar casein and curcumin blend were
93 obtained on a PerkinElmer UV-Vis spectrometer, model Lambda 35 (Chalfont
94 Road, Seer Green, Beaconsfield, United Kingdom). For absorption
95 measurements, approximately 3.0 mL of micellar casein suspension (4×10^{-10}
96 mol.L⁻¹) containing different concentrations of curcumin (1×10^{-6} to 25×10^{-6} mol.L⁻¹)
97 at pH 6.6 were added in a quartz cuvette. The scanning spectrum was obtained
98 between 250-550 nm and 298.15 K.

99 Fluorescence Experiments

100 Fluorescence spectra of casein suspension were obtained with a
101 PerkinElmer spectrofluorimeter, model LS 55 (Chalfont Road, Seer Green,

102 Beaconsfield, United Kingdom). For fluorescence measurements, approximately
103 3.0 mL of micellar casein suspension (8×10^{-10} mol.L⁻¹) containing different
104 concentrations of curcumin (1×10^{-6} to 10×10^{-6}) at pH 6.6 were added in a quartz
105 cuvette. Fluorescence spectra were obtained at different temperatures (293.15,
106 303.15, 313.15 and 323.15 K) in the range 281-450 nm (excitation wavelength
107 280 nm).

108 Partition experiment

109 Curcumin was solubilized in chloroform with a fixed concentration of
110 5×10^{-4} mol.L⁻¹ and stored in tubes in the absence of light. Micellar casein at
111 different concentrations ($0 - 1 \times 10^{-8}$ mol.L⁻¹) was suspended in buffer at pH 6.6
112 and subsequently added to the same tube containing the curcumin solution. A
113 10-point curve containing 5 ml of each component was then prepared and stored
114 in the bath for 24 hours at different temperatures (298.15, 303.15 and 308.15 K).
115 After 24 h, the concentration of curcumin in the aqueous phase was read in
116 PerkinElmer UV-Vis spectrometer, model Lambda 35 (Chalfont Road, Seer
117 Green, Beaconsfield, United Kingdom).

118 Zeta Size and Potential Measurements

119 Measurements of hydrodynamic diameter (nm) and the polydispersity
120 index (Pdl) were obtained by the light scattering technique dynamic-DLS using
121 detection angle of 173 °. The zeta potential was obtained from the electrophoretic
122 mobility of casein micelles. The experiment was performed at 25 ° C and pH 6.6
123 on Malvern Zetasizer Nano ZS according to Yuan, Lin, Zhang, Gao, & Yao (2016)
124 with modifications. Five-point curves were prepared by keeping fixed the micellar
125 casein concentration (8×10^{-10} mol.L⁻¹) and ranging the curcumin concentration
126 (1×10^{-6} at 10×10^{-6} mol.L⁻¹).

127 Thermal Degradation Kinetics

128 Curcumin solutions (1×10^{-5} mol.L⁻¹) in the absence and presence of
129 increasing concentration of micellar casein at pH 6.6 were added to flasks, with
130 oxygen removal by nitrogen ablation, and conditioned in baths with different
131 temperatures (303.15, 313.15, 323.15 and 333.15 K). Aliquots were withdrawn at
132 different time intervals (0, 0.25, 0.5, 0.75, 1.0, 1.5, 2.5, 3.5, 4.5 and 5.5 hours).
133 The scanning spectra was obtained between 180-880 nm using 1.0 cm quartz
134 cuvettes in a UV-vis spectrophotometer (Lambda 35, PerkinElmer). The values
135 of the kinetic degradation constant (K_d , h⁻¹) and the half-life time of curcumin ($t_{1/2}$,
136 h) were determined by equations 1 and 2 (Chung, Rojanasasithara, Mutilangi, &
137 McClements, 2016), respectively. The plot of $\ln K_d$ versus $1/T$ with van't Hoff
138 approach (Eq. 6) was used to calculate the enthalpic energy of degradation
139 activation (ΔH^\ddagger_d).

$$140 \quad -\ln \frac{ABS_f}{ABS_0} = K_d t \quad (1)$$

$$141 \quad t_{\frac{1}{2}} = \frac{\ln 2}{K_d} \quad (2)$$

142 where ABS_f and ABS_0 are the absorbances at each time interval and at time 0,
143 respectively, K_d is the degradation constant, t is the time, $t_{1/2}$ is the half-life time.

144 Results and discussion

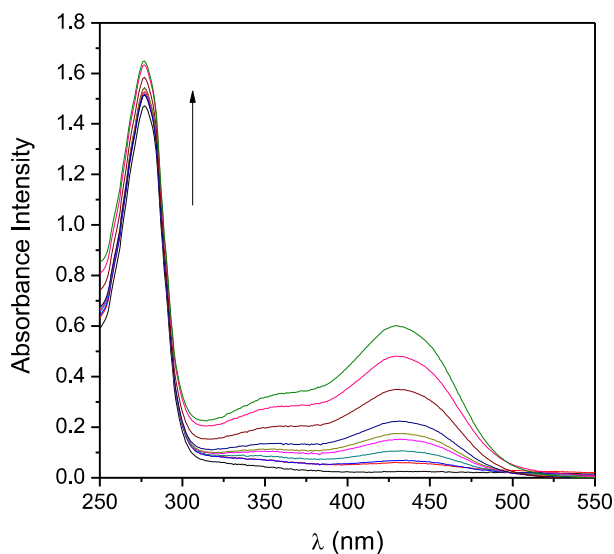
145 UV-Vis Absorption Spectroscopy

146 UV-visible spectroscopy is a simple technique that provides information
147 on interaction between proteins and ligands in the ground state (Xu et al., 2009).
148 If the interaction between the ligand and the protein changes the conformation in
149 the interaction environment or the conformation of the protein, the UV-Vis
150 absorption spectra of protein is altered (H. Bi et al., 2016), and the direction and
151 magnitude of the absorption spectrum shift of a chromophore indicate that it may

152 have been transferred to a more hydrophilic or hydrophobic environment (Wen et
153 al., 2009).

154 Figure 1 shows the absorption spectra of the micellar casein and
155 curcumin system at pH 6.6 in the absence and presence of increasing
156 concentrations of curcumin. The spectra show two distinct absorption peaks, one
157 around 276 nm for protein agglomerate and another around 426 nm for curcumin.
158 A change in the wavelength of maximum absorption also indicates that the
159 addition of curcumin leads to a conformational change in the interaction
160 environment, however, in this study we did not obtain a considerable wavelength
161 shift (~ 1 nm), and can not state that the interaction causes changes in the
162 interaction environment.

163 With increasing colorant concentration, the absorbance of peak referred
164 to the protein agglomerate also increased about 12.0%. The increase in
165 absorption intensity indicates that there is an interaction between micellar casein
166 and curcumin by a predominantly static process, indicating that the interaction of
167 protein agglomerate and curcumin may cause conformational change in some
168 caseins within CM and / or complex formation increases the molar absorptivity of
169 the aromatic amino acids. (Ye, Fan, Xu, & Liang, 2013).



170 Figure 1. UV-vis spectra of casein micelle at pH 6.6 and 298.15 K with increasing
 171 concentration of curcumin. The concentration of casein micelle was 4.0×10^{-9}
 172 mol.l^{-1} and the curcumin concentration varied from 0 – 25×10^{-6} mol.l^{-1} . The arrow
 173 indicates increase in absorption intensity at the wavelength of maximum
 174 absorption of casein with increasing additions of curcumin.
 175

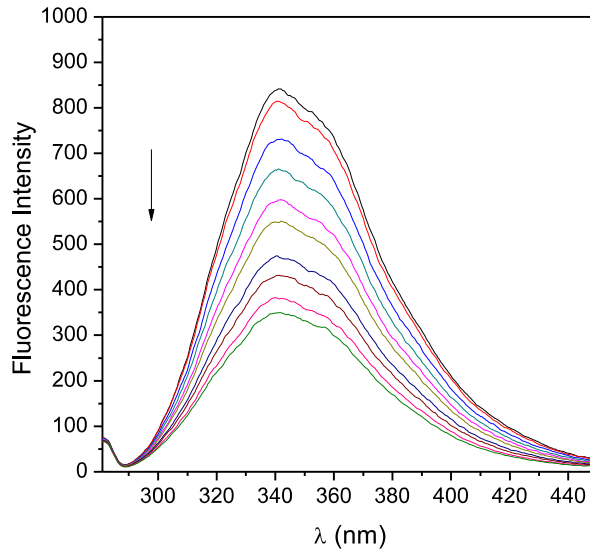
176 Fluorescence Measurements

177 Fluorescence Quenching Spectra

178 Fluorescence quenching is any process that results in a decrease in the
 179 fluorescence intensity of a fluorophore. A variety of molecular interactions may
 180 result in fluorescence extinction, including excited state reactions, molecular
 181 rearrangements, energy transfer, ground state complex formation and collision
 182 extinction (Lakowicz, 2006). Fluorescence is considered a convenient tool to
 183 investigate binding between small molecules and proteins because it provides
 184 enough information to characterize the binding mechanism and thermodynamic
 185 binding parameters (Lelis et al., 2017). If ligands interact with a protein, in the
 186 vicinity of aromatic amino acid residues, the microenvironment of these
 187 fluorophores may change, and so the fluorescence emission behavior of the
 188 protein will be affected.

189 The casein micelle contains around 20,000 to 150,000 casein molecules
190 (Antonov, Moldenaers, & Cardinaels, 2017). The intrinsic fluorescence of the
191 casein molecule is mainly due to the presence of the amino acids tryptophan and
192 tyrosine. Each α -casein molecule contains the two tryptophan residues placed at
193 positions 164-199 and 109-193, for α_{s1} - and α_{s2} -caseins, respectively; while β -
194 and κ -casein have one tryptophan residue at positions 143 and 76, respectively
195 (Farrell et al., 2004). Considering that a casein micelle is formed by 39%, 11%,
196 36% and 14% of α_{s1} , α_{s2} , β and κ -casein, respectively (Farrell, 2011) , there are
197 between 30,000 and 225,000 tryptophan residues contributing to fluorescence of
198 casein micelle. If curcumin molecules bind to some of these tryptophans, then
199 the fluorescence of casein micelle will quench.

200 The fluorescence spectra of micellar casein at pH 6.6 and 293.15 K in
201 the presence of increasing curcumin concentration is shown in Figure 2. With
202 increase in the concentration of curcumin, added at a fixed concentration of
203 micellar casein, the fluorescence intensity of the protein decreases with a slight
204 blue-shift, from 341 to 339 nm, indicating that curcumin interacts with micellar
205 casein. This displacement on emission wavelength pointed to fluorophores
206 changed to a more hydrophobic environment due to interaction with curcumin
207 (Bourassa, Bariyanga, & Tajmir-Riahi, 2013). With temperature increasing, a
208 similar behavior on the fluorescence quenching of casein was obtained (Figure
209 S2).

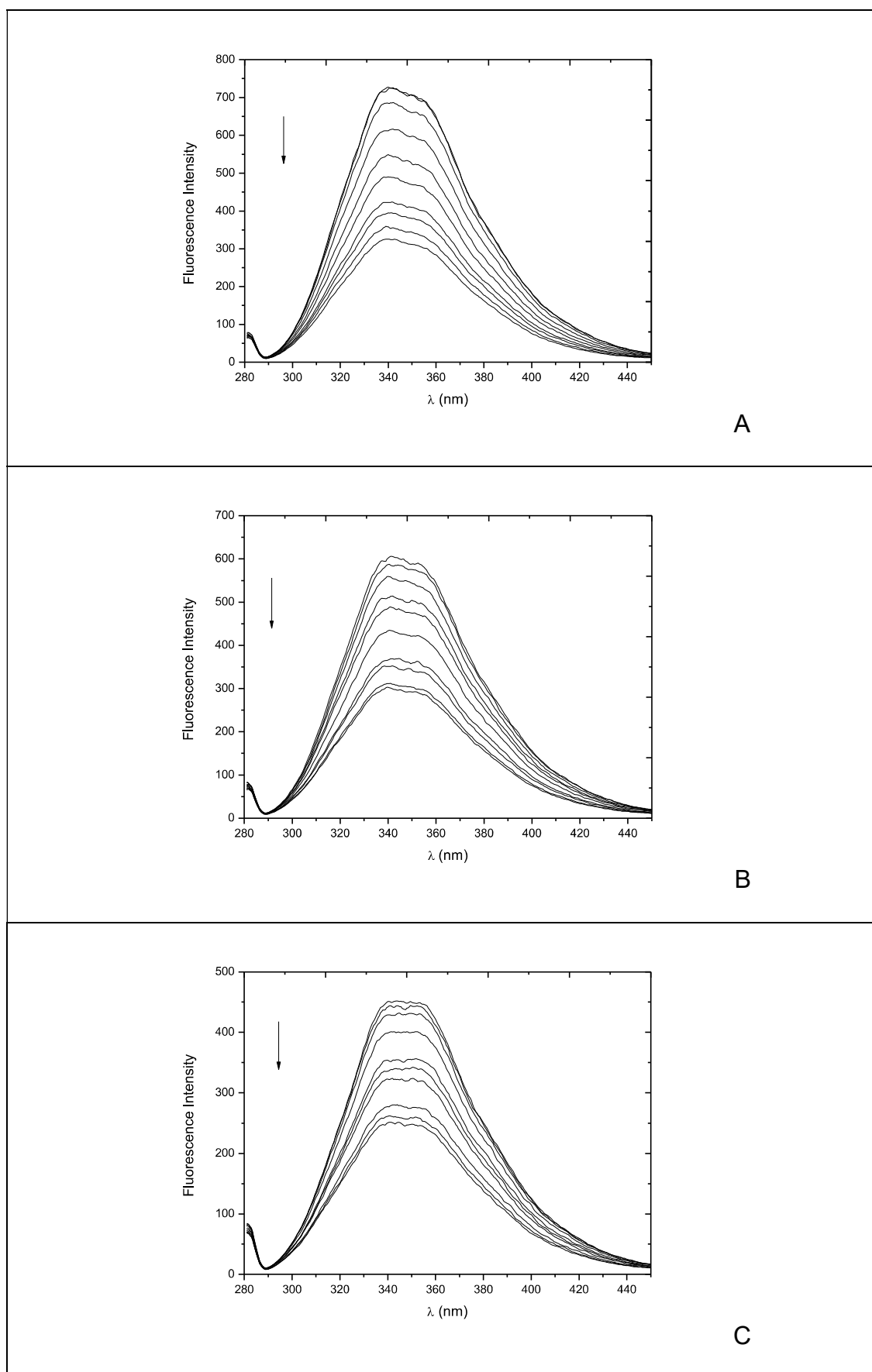


210

211 Figure 2. The fluorescence quenching spectra of casein micelle by curcumin (0 –
 212 $10 \times 10^{-6} \text{ mol.L}^{-1}$) at $T=293.15 \text{ K}$, $\text{pH } 6.6$ and $\lambda_{\text{ex}}=280 \text{ nm}$. The arrow indicates that
 213 fluorescence intensity of casein micelle reduces as curcumin concentration
 214 increases.

215

216 Casein micelles have multiple tryptophan residues, and the total
 217 fluorescence emission of these proteins naturally produces only average
 218 information on the structure of the protein or the environment where molecules
 219 may be interacting (Tcherkasskaya, Bychkova, Uversky, & Gronenborn, 2000).
 220 Considering the high hydrophobicity of curcumin, is expected that it interacts
 221 closely with the tryptophan residues, since they are in the most hydrophobic part
 222 of the micellar casein, resulting in the extinction of the fluorescence of this protein.



223 Figure S2. The fluorescence quenching spectra of casein micelle by curcumin (0
 224 $- 10 \times 10^{-6} \text{ mol.L}^{-1}$) at $T=303.15 \text{ K}$ (A), 313.15 K (B) and 323.15 (C) in pH 6.6 and
 225 $\lambda_{\text{ex}}=280 \text{ nm}$. The arrow indicates that fluorescence intensity of casein micelle
 226 reduces as curcumin concentration increases.

227 Stern-Volmer Analysis

228 The main mechanisms of extinction of fluorescence are classified as
229 static or dynamic. Static extinction is due to the formation of complex in the
230 ground state between the protein and the ligand while the dynamic extinction is
231 due to the collisions between the electrons in the excited state. For static
232 mechanism, the extinction constant decreases with increasing temperature
233 whereas, the opposite occurs for dynamic extinction (Lakowicz, 2006).

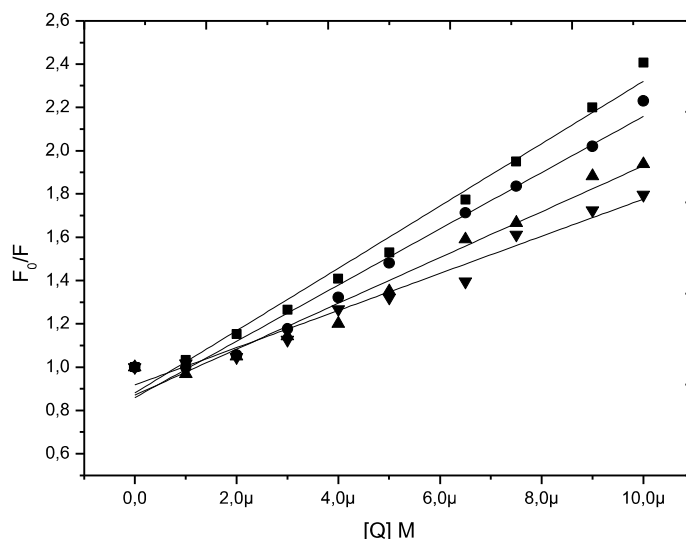
234 To determine the mechanism of interaction between micellar casein and
235 curuminin, fluorescence intensity data were analyzed by the Stern-Volmer
236 equation below:

$$237 \quad \frac{F_0}{F} = 1 + K_{sv}[Q] \quad (3)$$

238 where F_0 and F represent the fluorescence intensity of the protein in the absence
239 and presence of different concentrations of the ligand $[Q]$ respectively, and K_{sv}
240 is the Stern-Volmer extinction constant. By plotting F_0/F versus $[Q]$ it is possible
241 to obtain the value of K_{sv} , which is the slope of the line.

242 Figure 3 shows the plot of F_0/F versus $[Q]$ at different temperatures. As
243 the temperature increased, the K_{sv} values decreased (Table 1), indicating that
244 the extinguishing mechanism is predominantly static, i.e., a complex formation
245 occurred between micellar casein and curcumin. Results of the same order were
246 obtained by Sahu et al., (2008) ($K_{sv} = 1.13 \times 10^5 \text{ L.mol}^{-1}$) and by Khanji et al.,
247 (2015) ($K_{sv} = 3.25 \times 10^5 - 18.8 \times 10^5 \text{ L.mol}^{-1}$), studying the interaction between
248 curcumin-micellar casein at pH 7.4 and at room temperature and in the range of
249 pH 5.0 and 7.4 at room temperature, respectively, corroborating the results of
250 this study.

251



252

253 Figure 3. Stern-Volmer plots for casein micelle fluorescence quenching due to
 254 the binding of curcumin to casein micelle at pH 6.6 at four different temperatures:
 255 (■) 293.15 K; (●) 303.15 K; (▲) 313.15 K and (▼) 323.15 K.

256

257 Interaction parameters: interaction constant (K_b) and stoichiometry of complex
 258 formation (n)

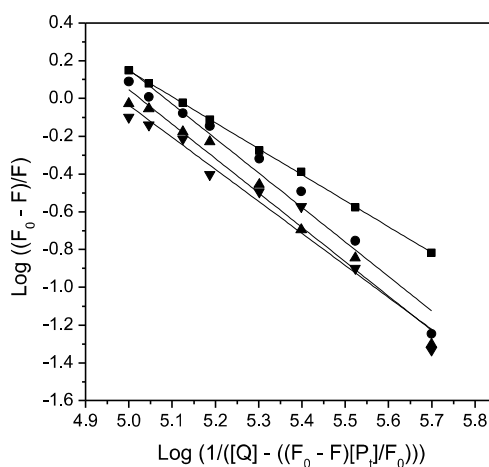
259 For static extinction processes, when small molecules interact with a
 260 macromolecule, the binding constant (K_b) as well as the stoichiometry of complex
 261 formation (n) can be obtained by equation 4.

$$262 \quad \text{Log} \frac{(F_0 - F)}{F} = n \text{Log} K_b - n \text{Log} \left(\frac{1}{[Q_t] - \frac{(F_0 - F)[P_t]}{F_0}} \right) \quad (4)$$

263 where K_b ($\text{L} \cdot \text{mol}^{-1}$) is the apparent constant of interaction; n is the stoichiometry
 264 of complex formation; $[Q_t]$ and $[P_t]$ are the total concentrations of ligand and
 265 protein ($\text{mol} \cdot \text{L}^{-1}$), respectively.

266 There are different equations for the calculation of the binding constant
 267 and the stoichiometry of complex formation (Wei, Xiao, Wang, & Bai, 2010) and
 268 some of these equations take into account that the concentration of the
 269 interacting binder is much smaller than the total concentration, which results in
 270 approximate data. (Bi et al., 2004). Through this consideration, we used Equation

271 4 (Modified double logarithm regression curve) for the calculations of K^b and n
 272 (Figure 4).



273 Figure 4. The double logarithm modified regression plots of $\log ((F_0 - F)/F)$ versus
 274 $\log (1/([Q] - ((F_0 - F)[P_t]/F_0)))$ of casein micelle at pH 6.6 and different
 275 temperatures: (■) 293.15 K; (●) 303.15 K; (▲) 313.15 K and (▼) 323.15 K.

276

277 As shown in Table 1, the values for K_b were of the order of 10^5 - 10^4 L.mol⁻¹
 278 ¹ for the complex between micellar casein and curcumin. The stoichiometry of
 279 complex formation was between one and two, at all temperatures, indicating that
 280 for each binding site on casein micelle there are at least one curcumin molecule
 281 bonded.

282

283 Table 1. Stern-Volmer extinction constant (K_{sv}), binding constant (K^b) and number
 284 of binding sites (n) between curcumin and micellar casein at pH 6.6 and four
 285 temperatures.

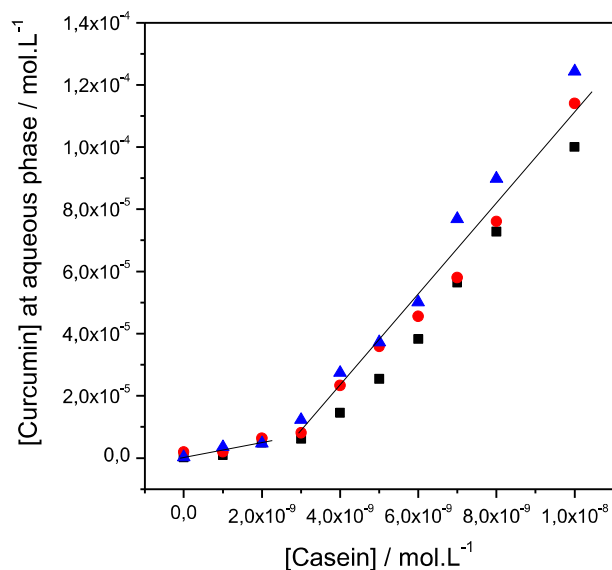
T (K)	K_{sv} (L.mol ⁻¹)	R^2	K^b (L.mol ⁻¹)	n	R^2
293.15	1.44×10^5	0.98	1.28×10^5	1.38	0.99
303.15	1.30×10^5	0.98	1.21×10^5	1.83	0.97
313.15	1.06×10^5	0.97	1.06×10^5	1.82	0.98
323.15	8.58×10^4	0.97	9.52×10^4	1.70	0.96

286

287 When studying the interaction of any molecule with micellar casein, the
288 stoichiometric analysis should take into account the amount of casein present per
289 micelle. Thus, our results suggest that one casein micelle may carry many
290 curcumin molecules; considering the number of caseins per micelle, and if all
291 tryptophan residues are available to interact with the bioactive molecule, the
292 number of curcumin molecules per casein micelle range from 30,000 to 225,000.

293 Different studies estimated the stoichiometry of interaction between
294 curcumin and casein molecules or sodium caseinate (Bourassa et al., 2013;
295 Benzaria, Maresca, Taieb, & Dumay, 2013), but few with micellar casein. Nadi et
296 al. (2014) studied interaction between whole casein and curcumin at pH 7.0,
297 obtaining a unitary stoichiometry and Khanji et al. (2015) studying interaction
298 between micellar casein and curcumin at different pH (5.0-7.4 and 298.15 K),
299 obtained a stoichiometry of approximately 1.5, similar results with this study.

300 In order to certificate the amount of curcumin molecules carried by each
301 casein micelle, we performed a partition experiment of curcumin between two
302 phases, an organic phase (chlorofom) and an aqueous phase, containing
303 increasing casein concentration, trough 24 h at different temperatures. The slope
304 of the linear region of [curcumin] at aqueous phase *versus* [casein] plot (Figure
305 S3) provided the number of curcumin molecules is carried inside each casein
306 micelle.



307

308 Figure S3. Plot of curcumin concentration on aqueous phase *versus* casein
 309 concentration, at: (■) 298.15 K, (●) 303.15 K, and (▲) 308.15 K.

310

311 The temperature seemed to had no effect on the transference of
 312 curcumin between the organic and the aqueous phase containing casein. The
 313 curves were divided in two regions, suggesting that there are two groups of
 314 binding sites for curcumim in casein micelle. At the first region (up to 2.0×10^{-9}
 315 mol.L⁻¹ of casein), the average slopes at three temperatures (25, 30 and 35 °C)
 316 indicated that around 2,273 curcumin molecules per casein micelle; and at the
 317 second region (above 4.0×10^{-9} mol.L⁻¹ of casein), the higher average slope
 318 (15,838), suggests that about 15,838 curcumin molecules were carried by a
 319 casein micelle. Considering the model of casein micelle, in which α_{s1} , α_{s2} , and β -
 320 caseins are placed in the core of casein micelle, while the k-casein is on the
 321 interface of the protein aggregate, we could propose that at small casein
 322 concentration (up to 2.0×10^{-9} mol.L⁻¹), curcumim interacted with the strong
 323 binding sites, probably present at k-caseins. However when the casein
 324 concentration increased (above 4.0×10^{-9} mol.L⁻¹), more sites (the weaker
 325 binding sites) are available for interacting with curcumin, probably those present

326 at α_{s1} , α_{s2} , and β -caseins, and a higher number of curcumin molecules were
327 transferred to the casein micelle.

328 The amount of curcumin carried by a casein micelle determined by the
329 partition experiment was remarkable smaller than that estimated by complex
330 stoichiometry determined by fluorescence. This difference can be easily
331 explained mainly considering that even casein micelle has many tryptophan
332 residues, some of them are not available to bind to ligand molecules (Lakowicz,
333 2006), and thus the amount of curcumin per casein micelle calculated based on
334 the complex stoichiometry found by fluorescence was overestimated. In addition,
335 the range of casein molecules per micelle is very wide (Antonov et al., 2017), and
336 so is the number of tryptophan residues, which could contribute for the difference
337 found.

338 Thermodynamics Parameters of Curcumin-Casein Micelle Binding

339 Basically, four types of bonding energy are involved in the interaction
340 between biomolecules and small ligands: hydrophobic forces, hydrogen bonds,
341 van der Waals forces and electrostatic interactions (He, Xu, Zeng, Qin, & Chen,
342 2016). In order to determine the nature of the interaction between micellar casein
343 and curcumin, the thermodynamic parameters of this interaction were
344 determined.

345 From the binding constant K_{ab} it was possible to determine the values of
346 standard Gibbs free energy change (ΔG°) of complex formation (Equation 5).

$$347 \quad \Delta G^\circ = -RT \ln K_b \quad (5)$$

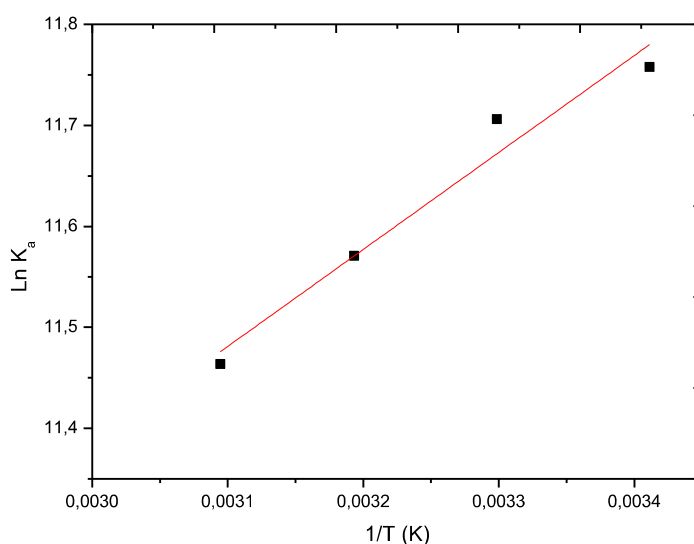
348 where R is the universal gas constant (8.314 J.mol⁻¹.K⁻¹) and T is the temperature
349 in Kelvin.

350 Since there are two components contributing for ΔG° values: the
351 enthalpic and the entropic terms, the values of standard enthalpy change (ΔH°)

352 and standard entropy change (ΔS°) of complex formation were obtained. The ΔH°
353 values were calculated using the van't Hoff approximation, by linear adjustment
354 (Figure S4) between the values of $\ln K_a$ versus the inverse of the temperature
355 (Equation 6). With the values of ΔG° and ΔH° it was possible to obtain the values
356 of the entropic term ($T\Delta S^\circ$) through the Gibbs fundamental equation (Equation
357 7). The data of thermodynamic parameters for curcumin-casein micelle is
358 presented in Table 3.

359
$$\ln \frac{K_2}{K_1} = -\frac{\Delta H^\circ}{R} \left(\frac{1}{T_2} - \frac{1}{T_1} \right) \quad (6)$$

360
$$\Delta G^\circ = \Delta H^\circ - T\Delta S^\circ \quad (7)$$



361

362 Figure S4. van't Hoff plot for the interaction between casein micelle and curcumin
363 at pH 6.6.

364

365 The ΔG° values were negative, indicating that the reaction equilibrium
366 favors the formation of a complex between micellar casein and curcumin. In
367 addition, the values of ΔG° have become more negative at higher temperatures,
368 which indicates that temperature increasing became more stable curcumin-casein
369 complexes.

370 According to the signs and magnitude of the ΔH° and $T\Delta S^\circ$ values, it is
371 possible to discuss which interaction forces are mainly responsible for curcumin-
372 casein micelle binding. As shown in Table 2, the values of $T\Delta S^\circ$ were positive
373 while ΔH° was negative, indicating an entropically and enthalpically driven
374 process. The ΔH° value obtained for curcumin-casein micelle complex is an
375 average of ΔH° at each binding site present in the casein micelle. The negative
376 ΔH° value indicated that probably two forces predominated in the ligand-protein
377 aggregate interaction: attractive electrostatic interaction and hydrogen bonding (J.
378 Zhang, Tian, Liang, Subirade, & Chen, 2013). Since pH 6.6 micellar casein is
379 negatively charged and curcumin neutral (in the pH range 1-7, the majority of
380 diferuloylmethane species are in the neutral form and pKa values: 7.8, 8.5 and
381 9.0), the formation of the complex is enthalpically favorable, probably due to the
382 predominance of hydrogen bonds occurring between the OH groups of curcumin
383 and OH groups close to the residues of tryptophan in the interaction environment
384 of casein micelle.

385 Furthermore, the negative values of ΔH° indicate that the complex
386 formation is through an exothermic process (formation of energetically favorable
387 non-covalent interactions between atoms, thus reflecting the system's energy
388 change (Du et al., 2016) when curcumin binding with micellar casein). The
389 positive entropic term is the result of the hydrophobic effect, due to the release of
390 water molecules from the solvation layer of the species that formed the complex.
391 In this way, the freedom degree of the solvent molecules becomes larger and the
392 entropy of the system increases.

393

394

395

396 Table 3. Thermodynamic parameters of interaction between micellar curcumin
 397 casein at pH 6.6 at four temperatures.

T (K)	ΔH° (kJ.mol ⁻¹)	R ²	T ΔS° (kJ.mol ⁻¹)	ΔG° (kJ.mol ⁻¹)
293.15			20.67	-28.66
303.15	-7.99	0.97	21.52	-29.51
313.15			22.14	-30.13
323.15			22.82	-30.80

398

399 Size and Zeta Potential

400 To evaluate the effects of the formation of complexes in the size and
 401 charge of the casein micelle, we measure the potential zeta and size of the
 402 protein agglomerate. It is important to investigate these two parameters during
 403 the development of complexes, especially given the fact that biological matrices
 404 are known to change these two characteristics when complex formation occurs
 405 (eg protein adsorption) (Bhattacharjee, 2016). Table 4 shows the hydrodynamic
 406 diameter and zeta potential of casein micelles in the absence and presence of
 407 curcumin.

408 Table 4. Hydrodynamic diameter, polydispersity index and zeta potential of
 409 casein micelles in the presence and absence of different concentrations of
 410 curcumin at pH 6.6 and 298.15 K.

Curcumin concentration (mol.L ⁻¹)	Average Diameter (nm)	Pdl	Zeta Potential (mV)
0x10 ⁻⁶	275.15 ± 23.35 ^a	0.410 ^a	-17.72 ± 1.95 ^a
2.5x10 ⁻⁶	291.15 ± 51.89 ^a	0.537 ^a	-17.16 ± 1.63 ^a
5.0x10 ⁻⁶	290.40 ± 40.59 ^a	0.459 ^a	-16.98 ± 1.33 ^a
7.5x10 ⁻⁶	299.77 ± 40.15 ^a	0.440 ^a	-15.68 ± 2.12 ^a
10.0x10 ⁻⁶	298.77 ± 65.36 ^a	0.514 ^a	-15.18 ± 0.81 ^a

411

412 The mean diameter of the casein micelles reported in the literature is
 413 around 200 nm (Silva et al., 2013). The mean diameter (z-average) of the micellar
 414 casein in the absence and presence of curcumin was constant. The Pdl of ≤ 0.1
 415 is considered to be highly monodisperse, values of 0.1 – 0.4 and >0.4 are
 416 considered to be moderately and highly polydisperse, respectively
 417 (Bhattacharjee, 2016). The values of standard deviation obtained indicate a

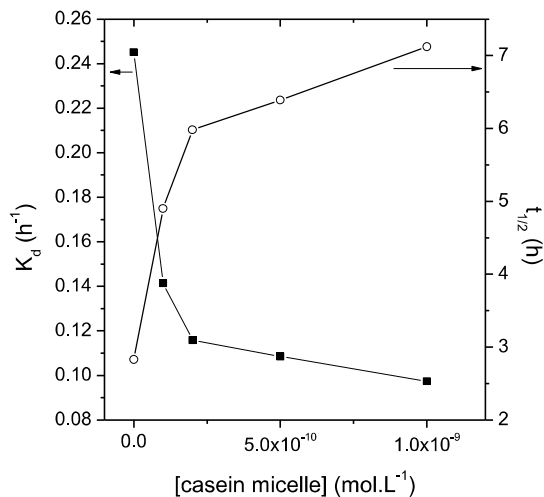
418 higher polydispersity index of casein micelles, in agreement with the existence of
419 different sizes of the casein micelle.

420 At pH 6.6, the micelles are negatively charged with higher electrostatic
421 repulsion. In the case of pure micellar casein and with addition of curcumin, the
422 zeta potential mean was -16.54 mV. The presence of curcumin had no significant
423 effect on zeta potential ($p < 0.05$). The obtained results in this study are in good
424 agreement with current knowledge of the casein micelle.

425 Curcumin Thermal Degradation Kinetics

426 Food processing generally includes heat treatments that effectively
427 preserve food and also provide desirable sensory properties. However, current
428 knowledge indicates that thermal processing, especially under severe conditions,
429 can affect the levels of active compounds in food products (Mercali, Jaeschke,
430 Tessaro, & Marczak, 2013). Kinetic analysis, which is widely used technique for
431 studying thermal decomposition, has received considerable attention (Chen et
432 al., 2014; Sarkis, Jaeschke, Tessaro, & Marczak, 2013). An important practical
433 application is the prediction of process rates, thermal stability and the half-life of
434 materials (Chen et al., 2014).

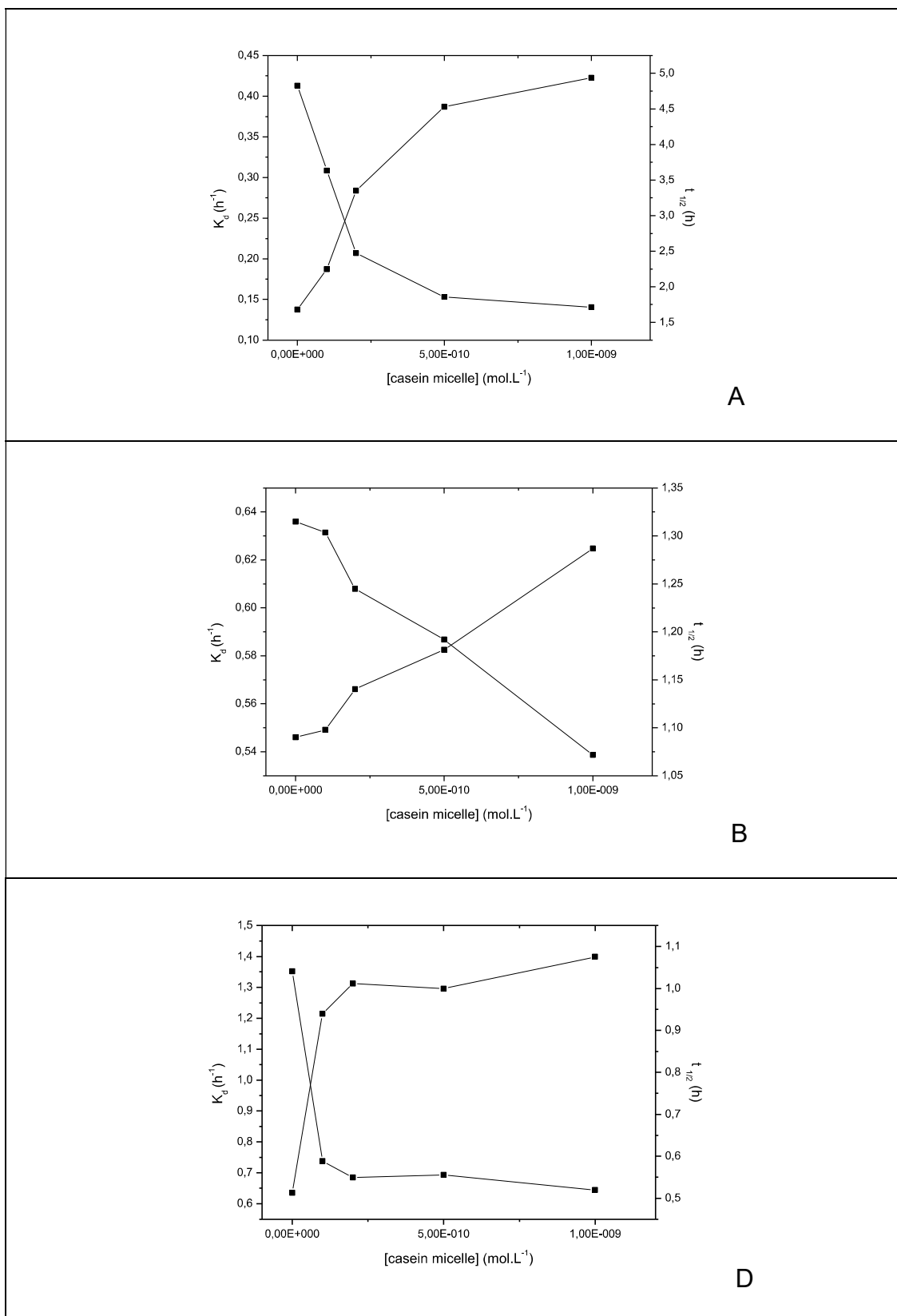
435 Figure 8 summarizes the kinetic parameters of the degradation constant
436 and half-life time obtained for the temperature of 303.15 K evaluated at different
437 concentrations of micellar casein. The same profile shown in Figure 8 was
438 observed for the temperatures of 313.15, 323.15 and 333.15 K (Figure S5). The
439 kinetic constants increased with increasing temperature for all casein
440 concentrations.



441

442 Figure 8. Dependence of the degradation constant (K_d) and the half-life ($t_{1/2}$) as
 443 a function of micellar casein concentration at pH 6.6 and 303.15 K.

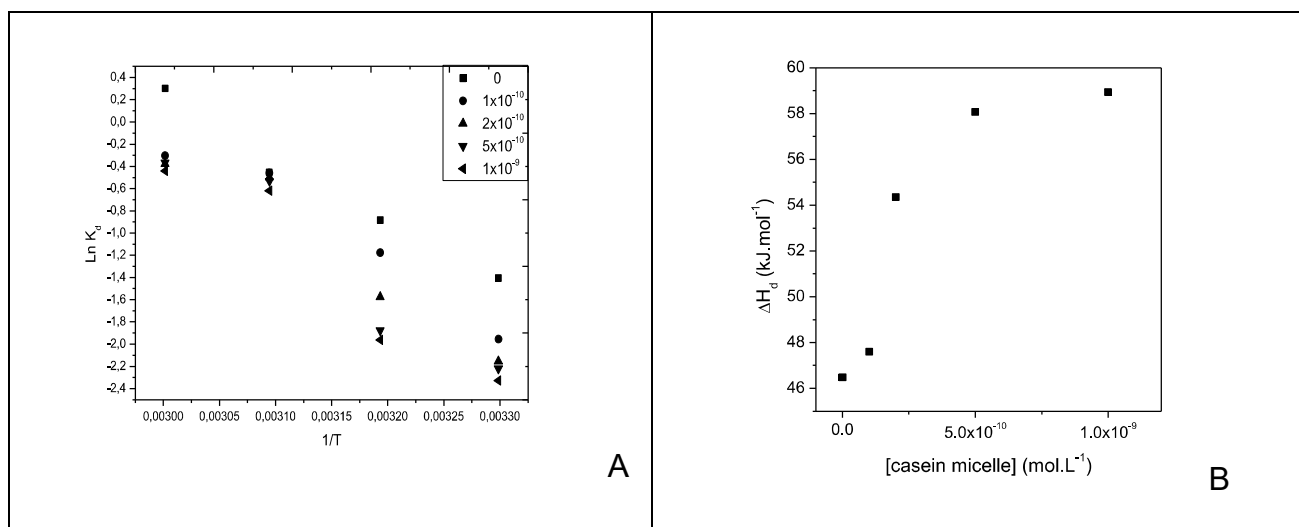
444 At each temperature, the increasing casein concentration provided a
 445 reduction in the kinetic degradation constant (k_d) of curcumin, suggesting the
 446 protective effect of micellar casein. Chen et al. (2014) suggests that the thermal
 447 degradation of curcumin occurs in two stages: (I) the stage I is due to the
 448 decomposition of substituent groups of curcumin; (II) the stage II is due to the
 449 decomposition of two benzene rings of curcumin. The same trend presented by
 450 the kinetic degradation constant occurred in relation to the half-life of curcumin in
 451 the presence of micellar casein, increasing the half-life ($t_{1/2}$) of curcumin in the
 452 presence of micellar casein. He et al. (2016) found small thermal degradation of
 453 anthocyanins in the presence of casein fractions (pH 6.3 and 353.15 K / 2h),
 454 suggesting the protective effect of this protein and corroborating with this study.



455 Figure S5. Dependence of the degradation constant (K_d) and the half-life ($t_{1/2}$) as
 456 a function of micellar casein concentration at pH 6.6 and 313.15 K (A), 323.15 K
 457 (B) and 333.15 K (C).

458

459 Trough Arrhenius approach (Figure 9A), the activation enthalpic energy
460 of degradation (ΔH_d^\ddagger) for each casein concentration was calculated (Figure 9B).



461 Figure 9. Van't Hoff approach (A) and dependence of ΔH_d^\ddagger as a function of
462 concentration of micellar casein (B).

463

464 As the concentration of micellar casein increased the ΔH_d^\ddagger also
465 enhanced, indicating that it is necessary a greater energy for occurring the
466 thermal degradation of curcumin. This behavior is probably due to at small
467 concentration of casein micelle the curcumin interact with binding sites present in
468 the protein aggregate, saturating these sites; however when the casein
469 concentration increased, there are more casein micelle available to bind with
470 curcumin, stabilizing the bioactive molecule, and thus, it is necessary more
471 energy to degradate curcumim.

472 Conclusion

473 The interaction between micellar casein and curcumin was studied using
474 UV-vis and fluorescence spectroscopy. The results obtained demonstrate that
475 curcumin interacts with micellar casein through a predominantly static
476 mechanism, that is, the formation of complex in the ground state. The process of
477 interaction, through thermodynamic analysis, is enthalpically and entropically

478 directed. The presence of curcumin showed no significant difference in relation
479 to the mean size and zeta potential of the casein micelle. Through the kinetic
480 analysis of degradation, the results suggest the protective effect of micellar
481 casein against thermal degradation processes on curcumin. The results of this study
482 present a better understanding of how micellar casein can be used as an
483 interaction vehicle, transport and protection for other molecules.

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